NEURAL NETWORK BASED INFLOW FORECASTING FOR OPTIMUM POND OPERATION OF A RUN-OF-RIVER TYPE HYDRO PLANT

Miyanakolatanne Hewage Dhammike Wimalaratne

(178534T)

Degree of Master of Science

Department of Electrical Engineering

University of Moratuwa Sri Lanka

May 2020

NEURAL NETWORK BASED INFLOW FORECASTING FOR OPTIMUM POND OPERATION OF A RUN-OF-RIVER TYPE HYDRO PLANT

Miyanakolatanne Hewage Dhammike Wimalaratne

(178534T)

Thesis submitted in partial fulfilment of the requirements for the degree of Master of Science in Electrical Engineering

Department of Electrical Engineering

University of Moratuwa Sri Lanka

May 2020

DECLARATION

I declare that this is my own work and this thesis does not incorporate without acknowledgement any material previously submitted for a Degree or Diploma in any other University or institute of higher learning and to the best of my knowledge and belief it does not contain any material previously published or written by another person except where the acknowledgement is made in the text.

I also grant to University of Moratuwa the non-exclusive right to reproduce and distribute my thesis, in whole or in part in print, electronic or other medium. I retain the right to use this content in whole or part in future works (such as articles or books).

Signature:

(M.H. Dhammike Wimalaratne)

The above candidate has carried out research for the Masters Thesis under my supervision.

Signature of the supervisor:

(Dr. Lidula N. Widanagama Arachchige)

The above candidate has carried out research for the Masters Thesis under my supervision.

Signature of the supervisor:

(Eng. W.J. L. Shavindranath Fernando)

ABSTRACT

The current practise of pond operation of Upper Kotmale Hydropower Station is studied, where management of the pond is by subjective judgements of the operator. Accurate and reliable inflow forecast makes up an important basis for optimum pond operation connected with effective spillway gate operation. This research proposes a novel technique to forecast inflow to the pond and utilise these forecasts to optimise the operation of the pond.

In the first phase of the research, an artificial neural network based Nonlinear Autoregressive eXogenous model, which is a dynamic neural network meant for time series forecasting, is used to develop the real time inflow forecasting system. Cross correlation analysis is used as feature selection for effective selection of the inputs to the Nonlinear Autoregressive eXogenous network. In the second phase, real time inflow forecast for next six hours is used to optimise the pond operation focusing on goals of shorter-term nature, such as maximising power generation, maximising pond storage and minimising spillway discharge. Multi-objective global optimisation using MATLAB "fmincon" algorithm and weighted approach of solving multi-objective problem are utilised to solve the optimisation problem. Trading-off conflicting objectives by this approach proves very effective. This optimisation approach enhances the flexibility of the operator in the decision making process resulting in achievement of efficiency in pond operation.

The results show that the Nonlinear Autoregressive eXogenous modelling is an efficient tool for inflow forecasting and MATLAB "fmincon" algorithm can be used effectively to carry out the multi-objective optimisation of run-of-river pond. Simulation studies for the past years show that there exists an opportunity for optimising run-of river ponds for generation using inflow forecast and with the use of the proposed methodology, it enhances the hydropower generation with gains of over 5% which is significant in a plant of this type.

Keywords : Artificial neural network, cross correlation, dynamic neural network, feature selection, inflow forecast, multi-objective global optimisation, Nonlinear Autoregressive Exogenous (NARX); pond operation, run-of-river, time series forecasting, ,

DEDICATION

To my wife Anusha Priyadarshani and my children Laksandi, Sithuli and Senuk Wimalaratne throughout my study. Without their patience dedication this thesis would not have been completed in this short period of time. To my parents Nita and Rohana Wimalaratne , who nurtured me and educated me and showed me the right path and introduced me to the Library in a tender age.

ACKNOWLEDGEMENTS

Foremost, I am pleased to express my sincere gratitude to my supervisor Dr. Lidula N. Widanagama Arachchige of the Department of Electrical Engineering, University of Moratuwa for the continuous support for my MSc research, for thought-provoking discussions, constructive feedback, encouragement and guidance.

I would like to thank my external supervisor, Engineer W.J.L. Shavindranath Fernando, who gave me the initial thought for this research, who often stimulated interesting and enlightening discussions during the time at Upper Kotmale Project.

This work would have not been conceivable without the assistance and advice of many other people. I want to thank the two seniors in our Department of Electrical Engineering, University of Moratuwa, Professor J.R. Lucas and Professor H.Y. Ranjith Perera, whose ideas and thoughts had a great impact on my work.

I also would like to thank my colleagues and friends in our MSc batch of the campus, and those who are in my office at Upper Kotmale Power Station. You made my working in this research more inspiring.

I also wish to express my sincere respect to the chief priest, Ven. Nindane Chandawimala thero, at "Methmuni Viharaya", Warakapola for giving me accommodation in the temple for me to seriously embark on the research work in a calm and tranquil surrounding away from home.

TABLE OF CONTENT

DE	CLA	RATION	. i		
ABSTRACTii					
DE	DICA	ATION	iii		
AC	KNO	WLEDGEMENTS	iv		
TA	BLE	OF CONTENT	v		
LIS	LIST OF FIGURESvii				
LIS	ST OF	TABLES	ix		
LIS	ST OF	ABBREVIATIONS	x		
1	INT	RODUCTION	1		
1	.1	Background	1		
1	.2	Problem Statement	2		
1	.3	Objectives of the Study	3		
1	.4	Overall Model Development	3		
1	.5	Thesis Outline	4		
2	LIT	ERATURE REVIEW	5		
2	.1	The background	5		
2	.2	Inflow Forecasting Methods	6		
2	.2.1	Types of Models	6		
2	.2.2	Artificial Neural Network (ANN)	7		
2	.2.3	ANN Modelling Process	8		
2	.2.4	Data Selection and Preparation	8		
2	.2.5	Feature Selection	9		
2	.2.6	Data Preprocessing 1	0		
2	.2.7	ANN Architecture	2		
2	.2.8	Training of ANN	2		
2	.2.9	Nonlinear Autoregressive EXogenous Model (NARX)	3		
2	.2.10	Multistep Time Series forecasting Strategies	4		
2	.2.11	Performance of ANN model	6		
2	.3	Pond Optimisation Methods	6		
2	.3.1	Categories of Optimisation	6		

	2.3.2	Multi-objective Optimisation Problem	17		
	2.3.3	Global Optimisation	18		
3	INI	FLOW FORECASTING USING NEURAL NETWORK	20		
	3.1	Introduction	20		
	3.2	Data Collection and Pre-processing	20		
	3.2.1	Raw Data Selection in the Present Study	21		
	3.2.2	Outliers and Missing Data	22		
	3.3	Feature Selection	23		
	3.4	Design of Neural Network Architecture	31		
	3.5	Multistep Ahead Forecasting	32		
4	PO	ND OPTIMISATION USING INFLOW FORECAST	34		
	4.1	Introduction	34		
	4.2	Problem Formulation	35		
5	RE	SULTS AND ANALYSIS	39		
	5.1	Introduction	39		
	5.2	Results of Feature Selection	39		
	5.3	Performance of Inflow Forecast Model	39		
	5.4	Performance of Pond Optimisation	46		
	5.5	Economic Evaluation of Water Saving	52		
6	CO	NCLUSIONS AND RECOMMENDATIONS	54		
	6.1	Conclusion	54		
	6.2	Future Work	55		
R	REFERENCES				
APPENDIX-A: MATLAB PROGRAMMES OF IFM					
A	APPENDIX-B: MATLAB PROGRAMMES OF POM				

LIST OF FIGURES

Figure 1.1: Hydro-Meteorological Observation Network of Upper Kotmale PS [2]	2
Figure 1.2: Overall Methodology	4
Figure 2.1: Different types of models	6
Figure 2.2: Structure of a Neuron	7
Figure 2.3: Extract from MATLAB Documentation of Overfitting	11
Figure 2.4: Simple neural network with one hidden layer	12
Figure 2.5: MATLAB peak function	19
Figure 3.1: Overall Block Diagram of Inflow Forecast Model	20
Figure 3.2: Extract of Collected Raw Data	21
Figure 3.3: Low-Pass Butterworth Filter used for Inflow Discharge to remove noise	22
Figure 3.4: Correlation Matrix of Present Values of Input Data	23
Figure 3.5: Cross Correlation between Present Inflow and Lagged Values of Nuwara Eliya RF	F 24
Figure 3.6: Cross Correlation between Inflow and Rainfall Values	25
Figure 3.7: Cross-Correlation between Present Inflow and Lagged Values of Calidonia W	ater
Level	25
Figure 3.8: Cross-correlation between Present Inflow and Nanuoya Water Level	26
Figure 3.9: Partial Autocorrelation of Nanuoya Water Level	26
Figure 3.10: Cross Correlation among Nuwara Eliya Cumulative Rainfall(up to 300 timesteps)	and
Present Inflow	27
Figure 3.11: Partial-Autocorrelation of 5.4 days (260 steps) Comulative Nuwara Eliya Rainfal	1128
Figure 3.12: Partial autocorrelation of Inflow to the Pond	29
Figure 3.13: MATLAB Open Loop NARX Network used for Modelling	31
Figure 3.14: Multistep ahead forecasting methodology	33
Figure 4.1: Overall Block Diagram of Pond Optimisation Model	34
Figure 4.2: Pond Cross Section and Different Flows	35
Figure 5.1: Progress Window of Training of ANN Model-1	40
Figure 5.2: Model-1 Regression Plots	41
Figure 5.3: Error Histogram for Model-1	41
Figure 5.4: Best Validation Performance for Model-1	42
Figure 5.5: Plot of Error Autocorrelation for Model-1	43
Figure 5.6: Input Error Correlation for Model-1	44
Figure 5.7: Actual Inflow and Forecasted Inflow with Time of 18 July 2019	15
	45
Figure 5.8 : RMSE adn MAD of Forecasting vs Forecasting Period	45 46

Figure 5.10: Optimisation Run for the Year 2017	48
Figure 5.11: Optimisation Run for the Year 2018	49
Figure 5.12: Extract of 2016 data from 15 May 2016	50
Figure 5.13: Comparison of Actual and Optimised Generation with Inflow on 15 May 2016	51
Figure 5.14: Comparison of Actual and Optimised Generation for 2016, 2017 and 2018	51

LIST OF TABLES

Table 1.1: Basic Plant Data at UKPS 1
Table 3.1: Summery of Gauging Stations and Raw Data Sources
Table 3.2: User Defined Inflow Flag 29
Table 3.3: Cross-correlation values of cumulative rainfall values and inflow for most
significant lags (most significant lag is shown in brackets)
Table 3.4: Characteristics of Selected ANN Model
Table 4.1: Decision Variables and Other Parameters 36
Table 5.1:Summery of Statistics of Model Training for all 12 Models
Table 5.2 : Calculation of RMSE and MAD
Table 5.3: Comparison of Actual and Optimised Generation with Gain for 2016 47
Table 5.4: Comparison of Actual and Optimised Generation with Gain for 2017 48
Table 5.5: Comparison of Actual and Optimised Generation with Gain for 2018 49
Table 5.6: Comparison of Actual and Optimised Spilling in year 2016, 2017, 2018 52
Table 5.7: Economic Water Value for each Month from 2016 to 201852
Table 5.8: Summary of Economic Gain for years 2016 -2018 53

LIST OF ABBREVIATIONS

ANN	: Artificial Neural Network
AOF	: Aggregated Objective Function
AW_RF	: Ambewela Rainfall
CD_RF	: Calidonia Rainfall
CD_WL	: Calidonia Water Level
CEB	: Ceylon Electricity Board
FF&WS	: Flood Forecasting & Warning System
IEEE	: Institute of Electrical and Electronic Engineers
IFM	: Inflow Forecasting Model
MAD	: Mean Absolute Deviation
MSE	: Mean Square Error
NARX	: Nonlinear Autoregressive eXogenous
NE_RF	: Nuwara Eliya Rainfall
NO_WL	: Nanuoya Water Level
PACF	: Partial Auto Correlation Function
POM	: Pond Optimisation Model
PS	: Power Station
R	: Correlation Coefficient
RMSE	: Root Mean Square Error
SCC	: System Control Centre
SH_RF	: Sandringham Rainfall
TK_RF	: Talawakelle Rainfall
TK_WL	: Talawakelle Water Level
UKPS	: Upper Kotmale Power Station