DEE 03/08

SIGNATURE RECOGNITION USING FUZZY-GENETIC ALGORITHM BASED REASONING

A dissertation submitted to the
Department of Electrical Engineering, University of Moratuwa
in partial fulfillment of the requirements for the
Degree of Master of Science

MORATUMA BY

ANANDASETTI GAMAETHIRALALAYA BUDDHIKA PRABHATH JAYASEKARA

Supervised by: Dr. Lanka Udawatta

Department of Electrical Engineering University of Moratuwa, Sri Lanka 621.3 06

January 2006

University of Moratuwa
85988

DECLARATION

The work submitted in this dissertation is the result of my own investigation, except where otherwise stated.

It has not already been accepted for any degree, and is also not being concurrently submitted for any other degree.

UOM Verified Signature

A.G.B.P. Jayasekara Date: 31/01/2006

.....

I endorse the declaration by the candidate.

UOM Verified Signature

Dr. UKDLP Udawatta

Contents

		Page
Declaration		ii
Abstract		vi
Acknowled	gement	vii
List of Figu		viii
List of Tabl		xii
Chapters		
1.0 Introdu	action	1
2.0 Sign	nature Preprocessing	7
	GB Space to Grayscale Conversion	8
2.2 G	ray Scale to Binary Conversion	9
2.3 S	cale Normalization	12
2.4 T	hinning	13
3.0 Fea	ture Extraction	14
		45
	Flobal Features	17
3.2 L	ocal or Grid Features	18
3.3 F	eature Selection	19
3.3.1	Projection Profiles	19
3.3.2	Geometric Centers	22
3.3.3	Contour Profiles	22
3.3.4	Normalized Number of Foreground Signature Pixels	24
3.3.5	Partial Projection Profiles	26

4.0 Ger	etic Algorithms	28
4.1 C	verview	28
4.1.1	Biological Analogy	28
4.1.1	Algorithm	29
4.1.2	Binary Encoding	31
4.1.4	Selection Selection	31
4.1.5	Genetic Operators	33
1.1.5	Generic Operators	33
5.0 Fuz	zy Systems	36
5.1 F	uzzy Sets	36
5.2 F	uzzy Rules	38
5.3 F	uzzy Inference Systems (FIS)	39
60 Sian	notions Decognition Decod on A Fuggy System	41
6.0 Sign	nature Recognition Based on A Fuzzy System	41
6.1 O	verview	41
6.2 T	raining Set	42
6.3 F	eature Mapping	44
6.3.1	Projection Profiles and Contour Profile Mapping	44
6.3.2	Normalized Difference Based Mapping	45
6.4 F	eature Variations	45
6.4.1	Projection Profiles	45
6.4.2	Contour Profiles	47
6.4.3	Area and Geometric Centers	49
6.4.4	Actual Dimensions and Baseline Shift	51
6.4.5	Local Features	53
6.5 D	esign of Fuzzy Inference System	56
6.5.1	Detailed Structure	57
7.0 Fuz	zy System Optimization Using Genetic Algorithms	61
7.1 O	verview	61
7.2 R	epresentation	62
721	Membership Function	62

:

7.2.2	Chromosome Structure	64
7.3 Fitr	ness Function	64
8.0 Resul	ts	66
8.1 Fuz	zy System Evolving	66
8.1.1	First subsystem	66
8.1.2	Second subsystem	68
8.1.3	Third subsystem	70
8.1.4	Forth subsystem	72
8.1.5	Fifth subsystem	74
8.1.6	Second Stage Fuzzy System	76
8.1.7	Decision of Recognition	79
8.2 Sig.	nature Recognition	81
Conclusion		83
References:		84
Appendix A		90
Appendix B		97

Abstract

Signature recognition is an area which has been exposed to vast amount of research works, with diversity of directions. Signature recognition can be divided into two main areas depending on the data acquisition method: on-line and off-line signature recognition. From the subject of machine recognition of handwritten signature methods, the online recognition systems can acquire time dependant information like writing acceleration, pressure and pen moment in addition to the resulting signature. Therefore the online recognition systems give excellent recognition results. But, timely dependant local feature details are not available in the offline systems. Since offline signature recognition remains important in variety of applications. The machine recognition of the signature is a very special and difficult problem. The allied constraints arise due to the complexity of signature patterns, the wide variations in the patterns of a single person (i.e. inter personnel variations) and the forged signatures produced by the alleged forgers. The application areas for signature recognition include all the applications where handwritten signatures are already in use such as in the bank transactions, credit card operations or authentication of a document with important instructions or information.

In this study, I proposed a method based on the fuzzy logic and genetic algorithm (GA) methodologies. It consists of two phases; the fuzzy inference system training using GA and the recognition. A sample of signatures is used to represent a particular person. The feature extraction process is followed by a selective preprocessing. The fuzzy inference system is followed by a feature extraction step. The projection profiles, contour profiles, geometric centre, actual dimensions, signature area, local features, and the baseline shift are considered as the feature set in the study. The input feature set is divided into five sections and separate five fuzzy subsystems were used to take the results. Those results are combined using a second stage fuzzy system. The fuzzy membership functions are optimized using the GA. A set of signatures consisting of genuine signatures, random forgeries, skilled forgeries of a particular signature and different signatures were used as the training set. Then, that particular optimized recognition system can be used to identify the particular signature identity. The recognition results authenticate that this is a reliable and accurate system for off-line recognition of handwritten signatures.

Acknowledgement

It is great pleasure to express gratitude to those who were behind me in completing my research study successfully.

First of all, I would like to express my sincere gratitude to my research supervisor, Dr. Lanka Udawatta (Senior Lecturer in Electrical Engineering, University of Moratuwa) for proposing research ideas and guiding me throughout the research. My sincere thanks go to the officers in Post Graduate Office, Faculty of Engineering, University of Moratuwa, Sri Lanka for helping in various ways to clarify the things related to my academic works in time, with excellent cooperation and guidance. Sincere gratitude is also extended to the people who serve in the office of the Department of Electrical Engineering.

The author extends sincere gratitude to Prof. H.Y.R. Perera, the head of the Electrical Engineering Department for selecting me as a Research Assistant for the period of research study.

Then I would like to pay my respect to my parents and the family members for their great support and encouragement. My sincere thanks goes to Mr. Awantha Swarnathilake for the great help given to me while being in the research team and also to the postgraduate students in the Electrical Engineering Research Lab. Lastly, but not least, I should thank many individuals, friends and colleagues whose names have not been mentioned here personally, in making this educational process a success. May be I could not have made it without their support.

A.G. Buddhika Prabhath Jayasekara
Department of Electrical Engineering,
University of Moratuwa,
31st January 2006.



List of figures

	Page
Figure 2.1: Overview of signature preprocessing	8
Figure 2.2: Histogram based thresholding	10
Figure 2.3 (a): Scanned color signature image	11
Figure 2.3 (b): signature image which converted to gray scale	11
Figure 2.3 (c): Binary signature image	11
Figure 2.4 (a): Signature image	12
Figure 2.4 (b): Signature image after the scale invariance correction	12
Figure 2.5 (a): Binary Signature image	13
Figure 2.5 (b): Thinned signature image	13
Figure 3.1 (a): First signature sample	15
Figure 3.1 (b): Second signature sample	15
Figure 3.2: Inter-personal stroke variations	16
Figure 3.3 (a): Projection profiles of binary signature image	20
Figure 3.3 (b): Projection profiles of skeletonized binary signature image.	20
Figure 3.4: Intra personal variations of projections	21
Figure 3.5: Inter personal variations of projections	21
Figure 3.6: Contour profiles.	23
Figure 3.7: Intra personal variations of contour profiles	23
Figure 3.8: inter personal variations of contour profiles.	24
Figure 3.9: Segmented signature	25
Figure 3.10: Skeletonized signature	26
Figure 3.11: Segmented signature image	26
Figure 3.12: Partial vertical projection profiles of signature segments	27
Figure 3.13: Partial Horizontal projection profiles of signature segments	27
Figure 4.1: Main steps of a Genetic Algorithm	30
Figure 4.2: Sample chromosomes	31
Figure 4.3 (a): Roulette wheel selection	32
Figure 4.3 (b) Stochastic Universal selection	32
Figure 4.4: Single point crossover	33

Figure 4.5: Two point crossover	34
Figure 4.6: Mutation	34
Figure 5.1: Triangular membership function with a=3, b=5and c=8.	37
Figure 5.2: Gaussian membership function with $\sigma = 1$ and $c = 5$	38
Figure 5.3: Sigmoidal membersip function with a=2 and c=4	38
Figure 5.4: Block diagram of a fuzzy inference system	40
Figure 6.1: Fuzzy inference system optimizing using GA.	41
Figure 6.2: Signature recognition using optimized FIS.	42
Figure 6.3: Randomly selected signature sample	43
Figure 6.4: A set of genuine signatures and their forgeries	43
Figure 6.5: Degree of Horizontal projection mappings.	46
Figure 6.6: Degree of vertical projection mappings	46
Figure 6.7: Degree of left contour profile mappings	47
Figure 6.8: Degree of right contour mappings	48
Figure 6.9: Degree of top contour mappings	48
Figure 6.10: Degree of bottom contour mappings	49
Figure 6.11: Degree of horizontal geometric center mappings	50
Figure 6.12: Degree of vertical geometric center mappings	50
Figure 6.13: Degree of signature foreground area mapping	51
Figure 6.14: Degree of actual signature width mapping	52
Figure 6.15: Degree of actual signature height mapping.	52
Figure 6.16: Degree of baseline shift mapping	53
Figure 6.17: Degree of partial vertical projection mapping	54
Figure 6.18: Degree of partial horizontal projection mapping.	54
Figure 6.19: Degree of local area mapping	55
Figure 6.21 (a): Untrained first input membership functions of first subsystem	57
Figure 6.21 (b): Untrained second input membership functions of first subsystem	57
Figure 6.22: Untrained output membership functions of first subsystem	58
Figure 6.23: untrained input member ship of area feature	59
Figure 7.1: Fuzzy system evolving	61
Figure 7.2: Gaussian membership function parameters	63

Figure 7.3: Triangular membership function parameters.	63
Figure 7.4: Chromosome structure	64
Figure 8.1 (a): Evolved input membership function that corresponding to horizontal	i
projection	67
Figure 8.1 (b): Evolved input membership function that corresponding to vertical	
projection	67
Figure 8.3: Trained surface variation of the first subsystem	68
Figure 8.4: Variation of best fitness with the generations	68
Figure 8.5 (a): Evolved input membership corresponding to left contour	69
Figure 8.5 (b): Evolved input membership corresponding to right contour	69
Figure 8.5 (c): Evolved input membership corresponding to top contour	69
Figure 8.5 (d): Evolved input membership corresponding to bottom contour	69
Figure 8.6: Evolved output membership function of second system	69
Figure 8.7: Trained surface variation of the second subsystem	70
Figure 8.8: Variation of best fitness with the generations	70
Figure 8.9 (a): Evolved input membership function that corresponding to vertical	
geometric center	71
Figure 8.9 (b): Evolved input membership function that corresponding to horizonta	1
geometric center	
Figure 8.9 (c): Evolved input membership function that corresponding to area	71
Figure 8.10: Evolved output membership function of third system	71
Figure 8.11: Trained surface variation of the third subsystem	7 2
Figure 8.12: Variation of best fitness with the generations	72
Figure 8.13 (a): Evolved input membership function that corresponding to actual	
signature width	73
Figure 8.13 (b): Evolved input membership function that corresponding to actual	
signature height	73
Figure 8.13 (c): Evolved input membership function that corresponding to baseline	
shift	73
Figure 8.14: Evolved output membership function of forth subsystem	73
Figure 8.15: Variation of best fitness with the generations	74
Figure 8.16: Trained surface variation of the forth subsystem	74

Figure 8.17 (a): Evolved input membership function that corresponding to localized	
horizontal projection	75
Figure 8.17 (b): Evolved input membership function that corresponding to localized	
vertical projection	75
Figure 8.17 (c): Evolved input membership function that corresponding to localized	
image foreground area.	75
Figure 8.18: Evolved output membership function of fifth subsystem	75
Figure 8.19: Variation of best fitness with the generations	76
Figure 8.20: Trained surface variation of the fifth subsystem	76
Figure 8.21 (a): Evolved input membership corresponding to first feature set	77
Figure 8.21 (b): Evolved input membership corresponding to second feature set	77
Figure 8.21 (c): Evolved input membership corresponding to third feature set	77
Figure 8.21 (d): Evolved input membership corresponding to forth feature set	77
Figure 8.21 (e): Evolved input membership corresponding to fifth feature set.	77
Figure 8.22: Evolved output membership function of second stage system	78
Figure 8.23: Trained surface variation of the second stage main system	78
Figure 8.24: Variation of best fitness with the generations	79
Figure 8.25: Threshold selection using trained membership function	79
Figure 8.26: Trained FIS output for the training set.	80

List of Tables

	Page
Table 3.1: Correlation within the signature sample	15
Table 3.2: Correlation between two different signature samples	16
Table 3.3: Number of foreground signature pixels in each region	25
Table 6.1: Feature set selection	56
Table 8.1: Signature recognition summary	81
Table 8.2: Random and skilled forgery handling in the system	81

