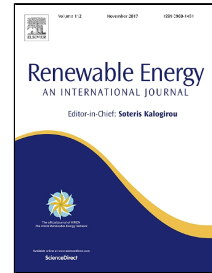


# Accepted Manuscript

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PII: S0960-1481(17)30546-3  
DOI: 10.1016/j.renene.2017.06.041  
Reference: RENE 8906  
To appear in: *Renewable Energy*  
Received Date: 23 December 2016  
Revised Date: 08 May 2017  
Accepted Date: 09 June 2017

Please cite this article as: Mahinsasa Narayana, Keith M. Sunderland, Ghanim Putrus, Michael F. Conlon, Adaptive Linear Prediction for Optimal Control of Wind Turbines, *Renewable Energy* (2017), doi: 10.1016/j.renene.2017.06.041

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# Adaptive Linear Prediction for Optimal Control of Wind Turbines

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**Abstract-** In order to obtain maximum power output of a *Wind Energy Conversion System* (WECS), the rotor speed needs to be optimised for a particular wind speed. However, due to inherent inertia, the rotor of a WECS cannot react instantaneously according to wind speed variations. As a consequence, the performance of the system and consequently the wind energy conversion capability of the rotor are negatively affected. This study considers the use of a time series *Adaptive Linear Prediction* (ALP) technique as a means to improve the performance and conversion efficiency of wind turbines. The ALP technique is introduced as a real time control reference to improve optimal control of wind turbines. In this study, a wind turbine emulator is developed to evaluate the performance of the predictive control strategy. In this regard, the ALP reference control method was applied as a means to control the torque/speed of the emulator. The results show that the employment of a predictive technique increases energy yield by almost 5%.

## Keywords

Wind energy conversion systems; Wind turbine; Linear adaptive prediction; Power mapping technique; Wind speed sensor technique; Wind speed estimation.

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## 1. Introduction

Growth in wind energy is at an unprecedented level. At the end of 2015 there was in excess of 433 GW of installed capacity (globally) [1], with wind energy supplying 3.7% of global electricity [2]. Indeed, the *Global Wind Energy Council* (GWEC) in their 2015 annual update, reported that the average annual growth (year-on-year) in wind energy capacity is 22% since 2000 [1]. The *International Energy Agency* (IEA) further emphasise the potential for wind energy by suggesting that 15-18% of global electricity will come from wind power by 2050 [2]. The growing trends in wind energy technology are motivating researchers to work in this area with the aim of optimising the energy extraction from the wind and the injection of quality power into the grid [3]. This growth is partly due to the technological improvement of wind turbines, which has led to significant decrease of wind power cost, allowing the energy source to compete with conventional generation methods [4].

51 Although the operational speed at which WECS generate can be fixed or variable,  
52 variable wind speed turbines – in attempting to maintain a constant rotational speed to  
53 wind speed ratio – offer the only means to maximise the energy extracted from the wind  
54 [5]. While any generator can operate at a fixed or variable speed [4], the permanent-  
55 magnet synchronous generators (PMSG) have been found to be superior owing to their  
56 advantages of higher efficiency, higher power density, lower maintenance costs and  
57 better grid compatibility [6]. Wind speeds are continuously varying and although the  
58 rotor of a WECS is required to drive at an optimal rotor speed for a particular wind  
59 speed, it cannot be instantaneously changed due to the moment of inertia of the rotating  
60 parts. Therefore, the response of the rotor to wind speed variations affects the  
61 performance of the system.

62  
63 There are many different *maximum power point tracking* (MPPT) control strategies [3].  
64 These range from optimising the relationships among various system parameters i.e.  
65 *optimum relationship-based (ORB)* control [3], to optimising torque through an *optimal*  
66 *torque (OTC)* control [4]. Others seek to maximise power efficiency (tip-speed-ratio  
67 (TSR) control), where the MPPT strategy is extremely reliant on the accuracy of the  
68 wind speed [7], whereas in the *perturb & observe (P&O) / Hill-climb search (HCS)*  
69 control strategy, the necessity of speed sensors is eliminated [8].

70  
71 In this paper, time series linear predictions are considered as a means to improve the  
72 optimum control performance of wind turbines. Real time control parameters are  
73 adjusted to achieve the optimum operating point of the system by considering the future  
74 value of the control reference signals. Time series prediction through an adaptive linear  
75 prediction method is evaluated by using measured wind data is proposed in this regard.  
76 The introduction of predicted wind speed estimates facilitates a prediction (forecast) of  
77 the control reference point for power harnessing enhancement. Such an approach can  
78 be incorporated into any type of MPPT technique. The paper therefore, proposes  
79 possible energy harvesting improvements through an optimised wind sensor method, in  
80 conjunction with power or torque mapping techniques, which are commonly used for  
81 many commercial wind turbines already.

82  
83 Wind speed-time series data typically exhibit autocorrelation, which can be defined as  
84 the degree of dependence on preceding values. Autocorrelated time series models are  
85 commonly used for the wind speed prediction [9] In an autocorrelated wind speed-time  
86 series, the value of wind speed in any one time step is strongly influenced by the values  
87 in previous time steps. Based on a number of historical data, pattern identification and  
88 parameter estimation, model checking are utilized to make a mathematical model of the  
89 time series data prediction[10]. Statistical models have been used for time series  
90 analysis and these models can be divided as follows: autoregressive models (AR),  
91 moving average models (MA), auto regressive moving average models (ARMA) and  
92 auto regressive integrated moving average model (ARIMA). The seasonal ARIMA  
93 model presents a better sensitivity to the prediction of wind speed. However, when the  
94 number of training vectors is increased for the ANN model, its performance would be  
95 improved [11].

96  
97 The utilisation of artificial neural networks (ANN) offer promising techniques for  
98 predicting time series wind data [9]. Prediction performance of ANN is superior to the  
99 AR model and capable to use for multi-step prediction [12]. Alternatively, fuzzy logic  
100 control can be implemented. Fuzzy logic controllers such as the multivariable

101 predictive control (FMMPC) presented in [13] offer a methodology to satisfy the double  
 102 objective of simultaneously regulating for both rotor speed and electrical power [13].  
 103 Other techniques include metaheuristic optimization techniques such as a fuzzy  
 104 controller using particle swarm optimization [14]. Indeed, in the context of synergising  
 105 techniques into control systems applicable to wind energy, the potential for ANN is  
 106 enhanced through the application of fuzzy logic. In this regard and as reported by  
 107 Sideratos and Hatziagyriou[14], satisfactory results can be derived through this  
 108 combination. .

109

110 The focus of this paper is to establish the potential for MPPT enhancement through the  
 111 integration of a wind speed input reference model. In this regard, an Adaptive Linear  
 112 Prediction methodology is employed. This method, as will be established in section 2,  
 113 displays good characteristics in the context of turbulent wind conditions.

114

115 A wind turbine emulator is subsequently developed to evaluate the effectiveness of  
 116 linear predictions for optimal controllability of small wind turbines using the ALP  
 117 prediction algorithm. Digital Signal Processing (DSP) techniques were used to control  
 118 the wind turbine emulator. A typical wind speed sensor method and in conjunction with  
 119 power mapping through a wind speed sensorless method were also evaluated with and  
 120 without time series prediction techniques. The results suggest that the proposed control  
 121 reference point prediction methodology offers performance improvement possibilities  
 122 for WECS. In the context of the methodology proposed in this paper, a 5% increase was  
 123 achieved.

124

125 The structure of the paper is as follows. Section 2 discusses adaptive linear prediction  
 126 as a methodology to derive time series predictions for wind speed and in this regard, a  
 127 relevant methodology for real-time predictions is chosen. Section 3 outlines the  
 128 characteristics of a PM WECS system followed by a study in section 4 that incorporates  
 129 a comparative analysis of both the wind speed sensor and a power torque mapping  
 130 consideration with and without linear wind speed predictions. Section 5 explains how  
 131 the system was compiled experimentally with sections 6 and 7 detailing the results and  
 132 acquired conclusions respectively.

133

## 134 **2. Adaptive linear prediction**

135 If time series data of a signal exhibits autocorrelation, an adaptive filter in prediction  
 136 mode can be exploited for time series linear predictions. In Figure 1, the input signal,  
 137 delayed by  $\Delta$  time unit, is fed into an adaptive filter. The non-delayed input serves as  
 138 the desired signal for this adaptive filter. An error signal,  $e(n)$ , is computed as  
 139  $e(n)=d(n)-y(n)$ , which measures the difference between the output of the adaptive filter  
 140  $[y(n)]$  and the desired signal  $[d(n)]$ . The filter weights adapts and converges to produce  
 141 a best least-squares estimation of the delayed signal to minimize the error signal $[e(n)]$   
 142 [15].

143

144 In this study the coefficients  $[W(n)]$  of the adaptive filter in predictive mode are also  
 145 estimated by the *Recursive Least Squares* (RLS) algorithm, which is more suitable for  
 146 real-time applications [16]. The optimal ‘weightings’ are copied into a “slave filter” in  
 147 which input is non-delayed signal and output is a best least squares prediction of the  
 148 input  $\Delta$  time units into the future.

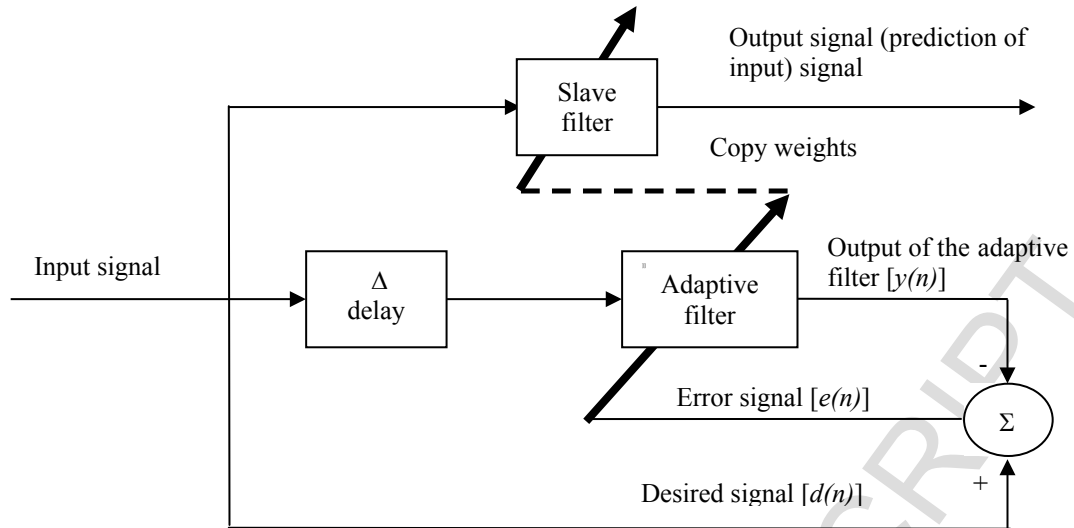


Figure 1: The Adaptive linear prediction technique

Thus in the context of this paper, an ALP technique through an RLS methodology (ALP-RLS) is employed as the control parameter for MPPT. The selected parameters for the ALP-RLS filter in predictive mode include, a *filter order* of 8, a *forgetting factor* of 1 and initial value of filter weightings as 0, where the *forgetting factor* (0 to 1) specifies how quickly the filter "forgets" a *past* sample [15]. The measured (real) wind data are used to investigate the effectiveness of the ALP-RLS predictions of wind speed data. In order to measure the accuracy of predictions, the root mean square error (RMSE) was used.

$$\text{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^n (v_i - v_{ip})^2} \quad (1)$$

where  $n$  is the total number of data points (5000),  $v_i$  are actual values of wind speeds and  $v_{ip}$  are the predicted values for  $v_i$ .

The root mean square error (RMSE) m/s of predictions for 1s logging time is considered in terms of actual wind data collected at Blyth, UK is 0.345. Figure 2 illustrates a box-plot comparison between the recorded wind speed and the ALP-RLS derived predictions. The RMSE of the ALP-RLS prediction methodology is 0.3458 m/s or 14% of the mean wind speed observed over the sample (2.44 m/s)

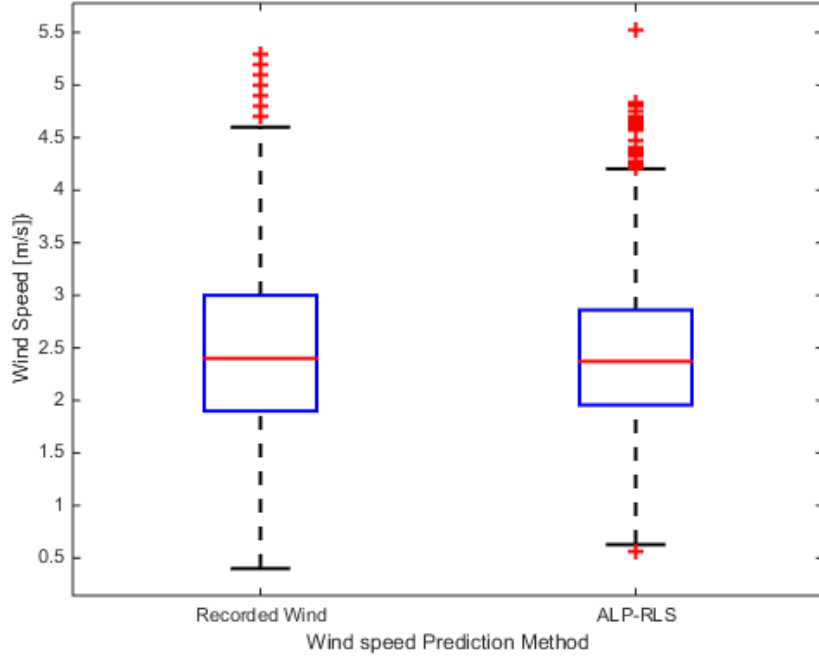


Figure 2: ALP-RLS box-plot comparison in terms of recorded wind speed sample

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### 3. Wind Rotor Model

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176

#### 3.1 Aerodynamic characteristics of the wind rotor

177

178 Based on the wind turbine aerodynamic behaviour, the wind rotor converts only a  
179 portion of the kinetic energy contained in the wind [17]; that is:

180

$$181 \quad P_a = \frac{1}{2} \cdot \rho \cdot \pi \cdot R_r^2 \cdot v^3 \cdot C_p \quad (2)$$

182

183 where  $P_a$  is the captured power by the wind rotor,  $R_r$  is the radius of the wind  
184 rotor,  $\rho$  is the air density and  $v$  is the speed of the incident wind.

185

186 The proportion of the useful power is defined by the power coefficient  $C_p$ , which for a  
187 given wind turbine rotor, depends on the pitch angle of the wind rotor blades and on the  
188 tip speed ratio ( $\lambda$ ); defined as:

189

$$190 \quad \lambda = \frac{\omega \cdot R_r}{v} \quad (3)$$

191

192 where  $\omega$  is the rotational speed of the rotor.

193

194 The wind rotor aerodynamic characteristics are represented by the  $C_p$ - $\lambda$  relationship. In  
195 the context of variable speed wind turbines; when wind speed varies, the wind turbine  
196 rotor speed should be adjusted proportionally to maintain optimum tip speed ratio for  
197 maximum power extraction. Using equation (2) the aerodynamic torque ( $T_a$ ) developed  
198 by a wind rotor can be obtained as follows:

$$T_a = \frac{1}{2} \cdot \rho \cdot \pi \cdot R_r^3 \cdot v^2 \cdot \frac{C_p}{\lambda} \quad (4)$$

200

$$T_a = \frac{1}{2} \cdot \rho \cdot \pi \cdot R_r^3 \cdot v^2 \cdot C_t \quad \text{where } C_t = \frac{C_p}{\lambda} \quad (5)$$

202

203 where  $C_t$  is the torque coefficient and  $T_a$  is the Aerodynamic torque of wind  
 204 rotor. The  $C_p$  &  $C_t$  -  $\lambda$  relationship of the wind turbine, which is considered in this  
 205 study, is shown in Figure 3.

206

207 For wind turbine-generator systems with a gearbox, the aerodynamic torque can be  
 208 expressed as  $KT_a$ , where  $K$  is the gear ratio of the gearbox.

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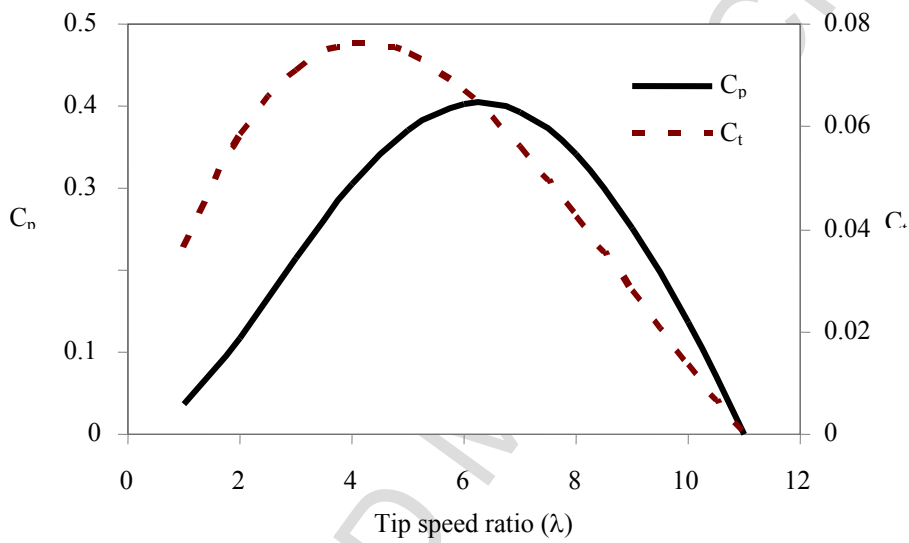


Figure 3:  $C_p$  &  $C_t$  -  $\lambda$  relationship of the wind turbine

### 3.2 Electromagnetic torque of the generator

Generally, three phase permanent magnet generators (PMGs) are used for small scale wind turbines. In this system, a three-phase bridge rectifier is used to convert a.c. to d.c. and it is used for battery charging or inverting again to a.c. for grid connection. Configuration of the small wind power system is shown in Figure 4. Equivalent d.c. circuit for a PMG and a three phase rectifier is shown in Figure 5; adapted from [18].

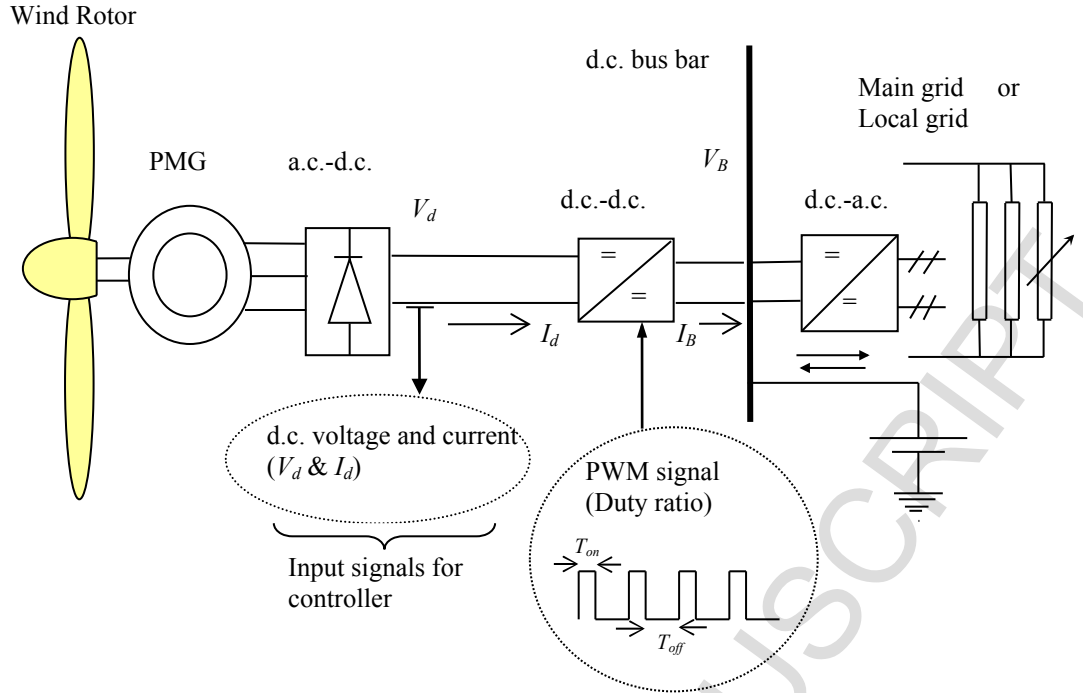


Figure 4: Configuration of the small wind power system

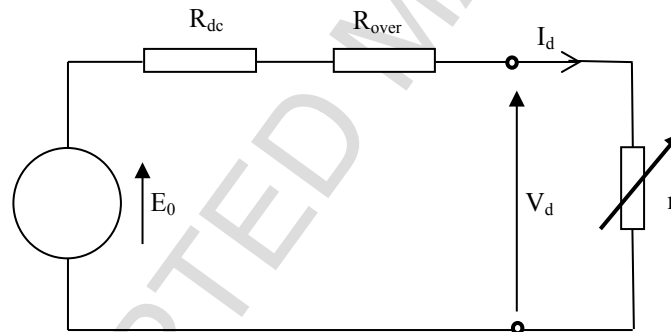


Figure 5: Equivalent d.c. circuit of a PMG

For an equivalent d.c. circuit of a PMG (Figure 5) as it is incorporated with a three phase rectifier, the effective armature resistance ( $R_{dc}$ ) is approximately twice the phase resistance [18]. i.e.

$$R_{dc} \approx 2R_{ph} \quad (6)$$

The overlap resistance is given by [18],

$$R_{over} = \frac{3}{\pi} \omega_s L_{ph} \quad (7)$$

where  $\omega_s = p\omega$ ,  $L_{ph}$  is the phase inductance,  $\omega_s$  is the rotational speed of electric field and  $p$  is the number of pole pairs.



266 Then, the wind turbine terminal d.c. voltage is;

267

$$268 \quad V_d = E_0 - I_d (R_{dc} + R_{over}) \quad (8)$$

269

$$270 \quad \text{Let } R = R_{dc} + R_{over}$$

271

$$272 \quad \text{Therefore; } E_0 = V_d + I_d R$$

273

$$274 \quad k' \omega = V_d + I_d R, \quad \text{as } E_0 = k' \omega \quad (9)$$

275

276 Torque is derived by electric power at the armature for loss-less operation ( $P_E = E_0 I_d$ )  
277 and rotational speed ( $\omega$ ).

278

$$279 \quad T_e = \frac{P_E}{\omega} = \frac{E_0 I_d}{\omega} = \frac{k' \omega I_d}{\omega} = k' I_d \quad (10)$$

280

281 The generator torque is a function of generator current ( $I_d$ ), magnetic flux linkage and  
282 number of pole pairs [19]. For a particular generator  $k'$  is a fixed parameter depending  
283 on magnetic flux linkage and number of pole pairs. Therefore electromagnetic torque  
284 of a generator ( $T_e$ ) can be varied by controlling the current.

285

## 286 4. Optimal Control of WECSs

287

### 288 4.1 Predictive control of wind turbines

289 According to wind speed variations, a quick response of wind turbine rotor speed is not  
290 practicable/possible due to the moment of inertia of rotating parts. Therefore exact  
291 control is unrealistic with control reference point estimation by real time parameters. If  
292 future reference wind turbine rotor speed is predicted, appropriate rate of change of  
293 wind rotor speed ( $d\omega/dt$ ) can be established to achieve an optimal operating point after  
294 the predicted time step. The predictive control criterion is presented in Figure 6.

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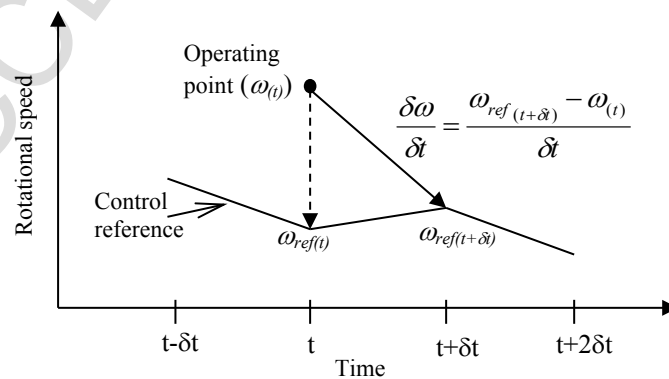


Figure 6: Predictive control criterion

306 According to Figure 5, the required rate of change of the rotor rotational speed ( $\delta\omega/\delta t$ )  
 307 to achieve the optimal operating point after predicted time step ( $\delta t$ ) can be derived as  
 308 follows;

$$309 \quad \frac{\delta\omega}{\delta t} = \frac{\omega_{ref(t+\delta t)} - \omega(t)}{\delta t} \quad (11)$$

310 Torque interaction of the wind rotor and the generator can be expressed as;

$$311 \quad T_e = KT_a - \frac{d\omega}{dt}J - T_f \quad (12)$$

312  
 313 where  $J$  is momentum of inertia of rotating parts,  $T_f$  is torque due to friction  
 314 losses.

315  
 316 The electromagnetic torque of a generator ( $T_e$ ) is controllable. Aerodynamic torque of  
 317 the wind rotor ( $T_a$ ) and torque due to friction ( $T_f$ ) depend on uncontrollable external  
 318 parameters (as well as;  $T_f \ll T_a$  and  $T_f \ll T_e$ ). Therefore the rate of change of rotational  
 319 speed ( $d\omega/dt$ ) can be controlled by varying electromagnetic torque of the generator ( $T_e$ )  
 320 and it is expressed as follows;

$$321 \quad \frac{d\omega}{dt} = \frac{KT_a - T_e - T_f}{J} \quad (13)$$

322  
 323  
 324 The response time of rotor speed variation (from  $\omega_1$  to  $\omega_2$ );

$$325 \quad t = \int_0^t dt = \int_{\omega_1}^{\omega_2} \frac{J}{KT_a - T_e - T_f} d\omega \quad (14)$$

326  
 327 The required maximum time ahead prediction is dependent on the response time of the  
 328 system. However prediction error will increase with size of the time step [20]. In this  
 329 study prediction time step is lower than the response time of the system. If prediction  
 330 time step of reference is more than response time of the system, optimal control will  
 331 not be realised.

## 332 333 334 **4.2 Control strategies**

335 Different types of power electronic converter topologies are available for wind turbines  
 336 and these implement different control scheme optimality [21]. The optimum operating  
 337 point of a wind turbine system is usually determined in order to achieve the highest  
 338 aerodynamic efficiency of the wind rotor. Generally, a controller that employs a wind  
 339 speed sensor (or in some cases, sensor-less control) is used to control the wind turbine.  
 340 In systems that employ wind speed sensors, the wind sensor provides the turbine speed  
 341 reference to the controller. The reference control point is evaluated by using equations  
 342 (6), (7) and  $C_p$ - $\lambda$  curve (see Figure 3). This reference is compared with the actual turbine  
 343 speed. A control diagram of wind speed sensor method is shown in Figure 7. A PI  
 344 controller is used for this comparison study. Gains of the PI controller were manually  
 345 adjusted by considering Ziegler-Nichols PI tuning rules for step change of reference

346 rotational speed[22]. For the PI controller, selected proportional gain ( $k_p$ ) is 0.052 and  
 347 integral gain ( $k_i$ ) is 0.324.

348

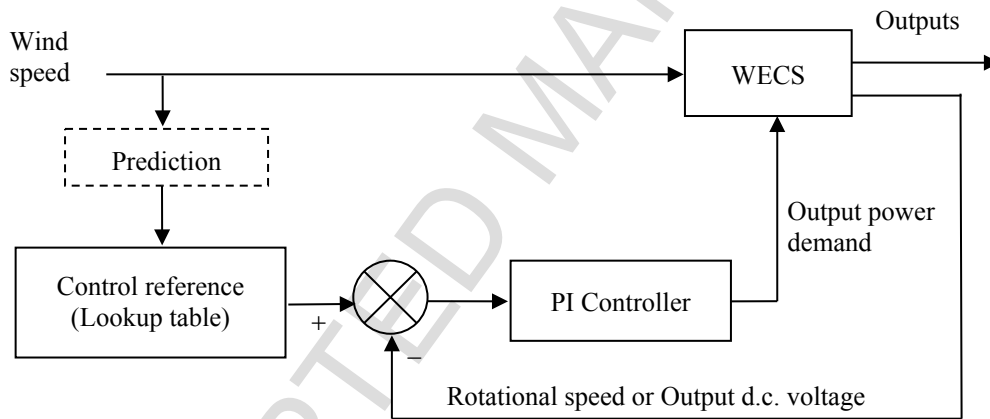
349 In the sensor-less control technique, anemometry is not employed to provide the wind  
 350 speed information; hence, it is essential to estimate the wind speed by using  
 351 predetermined system characteristics. At steady state ( $d\omega/dt = 0$ ) and  $T_e \approx T_a$  wind  
 352 speed can be estimated by the generator outputs. Predetermined power output in terms  
 353 of output d.c. voltage curves are correlated with corresponding wind speed values. This  
 354 can be described by equations (9), (13), (14) and the  $C_t - \lambda$  curve (see Figure 4).  
 355 Generally, the generator speed (or output d.c. voltage) and power (or torque) mapping  
 356 techniques are used to estimate the reference control point [23]. At steady state, power  
 357 output versus output d.c. voltage curves of the wind turbine are presented in Figure 8.  
 358 In the power mapping method, estimated control reference is compared with the  
 359 measured parameter.

360

361 The control diagram of power or torque mapping method is shown in Figure 9. For this  
 362 paper, a comparative study was performed to evaluate performances of the wind speed  
 363 sensor method and the power mapping method with and without linear predictions. Test  
 364 results are presented in the section 6.

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**Figure 7: Control diagram of wind speed sensor method**

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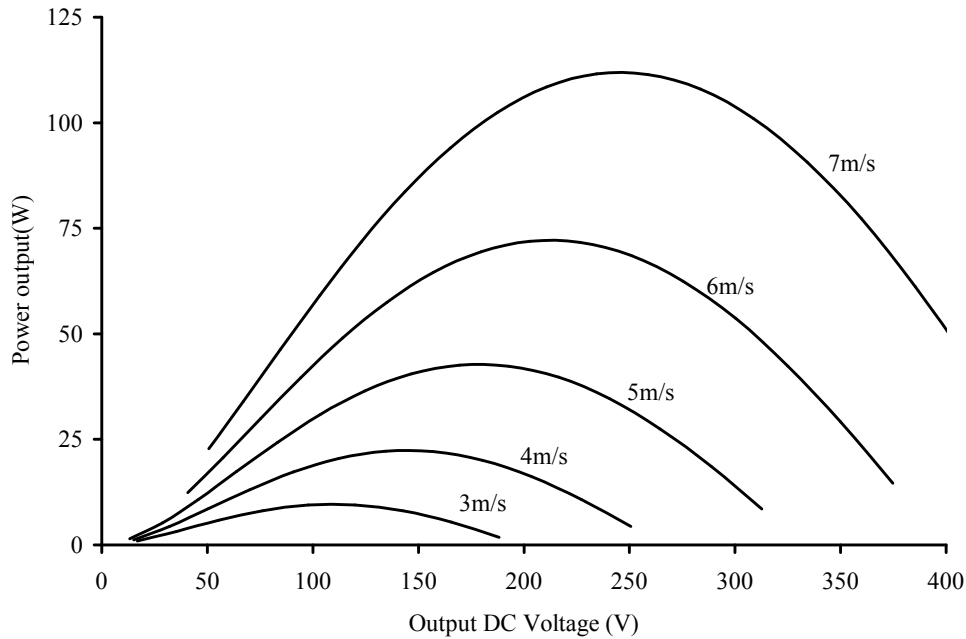


Figure 8: Power output versus output d.c. voltage curves

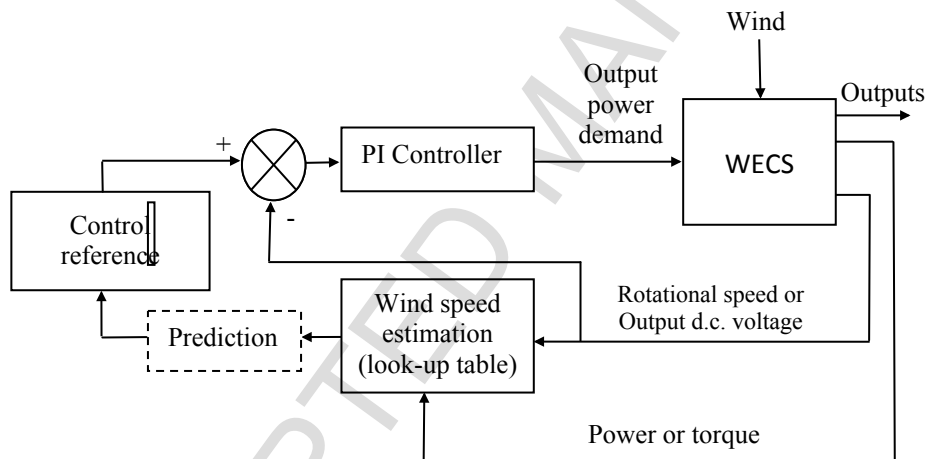


Figure 9: Control diagram of power or torque mapping method

## 5. Experimental Setup

### 5.1 The Wind turbine emulator

The wind turbine emulator developed in this study is a prime mover (shunt motor), which has the torque-speed characteristics of a real wind rotor and driven by time series wind speed data. The prime mover is coupled with a suitable generator to represent a WECS. A wind turbine emulator was introduced in this study to evaluate and compare the performance of different control strategies of WECSs. This is necessary, since the performance of a real wind turbine is subjected to variable wind conditions. Therefore, it is more difficult to carry out in practice. The performance comparisons of each control system were evaluated for the same time series wind speed data set under the same wind condition, which were supplied to the wind turbine emulator. Real time torque and rotational speed measurements of the system are required for controlling the prime

394 mover according to the pre-loaded real wind rotor characteristics and wind speed data.  
395 In addition, this allows control of the rotational speed of a d.c. shunt motor by an  
396 external control signal. This system was adapted as a real time wind turbine emulator  
397 with the help of Digital Signal Processing (DSP) techniques based on a dSPACE  
398 DS1103 PPC control and data acquisition board [24]. The wind turbine emulator was  
399 operated with a 1s logging time of measured wind speed data to imitate a real situation.  
400 The effects of *coning* and *flapping* of the rotor blades are assumed to be negligible and  
401 hence were not considered in this wind turbine emulator. Also for the analysis  
402 considered here, the tower shadow effect is negligible [25][26]. As this study is carried  
403 out for a comparison work based on *small-scale* WECS (SS-WECSs), the effects due  
404 to the tower shadow and *coning* & *flapping* deformation of the wind rotor blades can  
405 be ignored. Normally in SS-WECSs, the wind rotor is directly coupled to the generator  
406 by a short shaft. The power transmission drive train configuration of SS-WECSs is  
407 similar to the drive train of the motor-generator set used in the proposed emulator and  
408 then drive trains are not required to be separately model by this wind turbine emulator.  
409

410 In this research study, the model reference control criterion is proposed to control the  
411 emulator[26]. The Reference model is the mathematical model of the wind rotor and  
412 the plant model is the “Feedback” Torque & Speed control module [27]. As non-linear  
413 characteristics of the “Feedback” control module, the Nonlinear Autoregressive  
414 Moving Average (NARAM) model [28] is introduced to characterize the integrated  
415 system of the “Feedback” Torque & Speed Control module and the d.c. shunt motor.  
416

## 417 **5.2 Model Reference Control**

418 A d.c. shunt motor is connected to the “Feedback” Torque & Speed control module,  
419 which allows the rotational speed to be controlled by an external signal. The model  
420 reference control strategy was used to control the d.c. shunt motor through the  
421 “Feedback” Torque & Speed control module. The reference model (implanted through  
422 the digital signal processing (DSP) board) calculates the required output reference of  
423 the plant according the emulated wind rotor characteristics. The model reference  
424 controller evaluates a suitable plant input based on the plant model with a feedback  
425 loop. The plant model therefore represents the plant input and output relationship. The  
426 concept of the model reference control strategy is presented in Figure 10 [29], with  
427 Figure 14 providing the context of where the feedback resides in the overall control  
428 strategy. In this system, the reference model is the wind rotor whilst the plant model is  
429 the d.c. shunt motor incorporated with the Torque & Speed control module. A  
430 mathematical model of the wind rotor was used as the reference model. Modelling of  
431 the “Feedback” Torque & Speed control module proved to be difficult to develop as  
432 parameters and specifications are unknown. Therefore, an empirical model, which is  
433 represented by an artificial neural network model (trained by the experimental data), of  
434 the d.c. shunt motor and the “Feedback” Torque & Speed control module was  
435 considered to represent the plant model of this system.  
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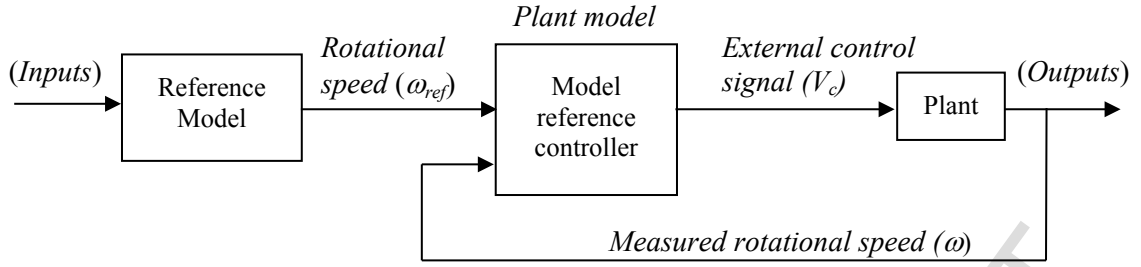


Figure 10: Concept of the model reference control strategy

The aerodynamic torque delivered by the wind rotor is described by equation (7). The dynamic aerodynamic torque is derived by applying a lead-lag filter transfer function to the static aerodynamic torque [30].

Rate of change of the rotational speed ( $d\omega/dt$ ) of the wind rotor can be expressed by considering the momentum of inertia of the wind rotor and torque interactions in the time domain. Therefore, real time reference rotational speed ( $\omega_{ref}$ ) can be determined by integrating the rate of change of the rotational speed ( $d\omega/dt$ ) with relevant initial conditions.

Torque interactions of the wind rotor and the generator is described by the relationship of the aerodynamic torque developed by the wind rotor ( $T_a$ ), the electromagnetic torque of the generator ( $T_e$ ), the torque due to angular acceleration ( $\dot{\omega} \cdot J$ ) and the frictional torque ( $T_f$ ).

$$T_a = \dot{\omega} \cdot J + T_e + T_f \quad (15)$$

The rotational speed at a time “ $t$ ” is subsequently provided as (by integrating equation 14);

$$\omega_{ref} = \int_0^t \left( \frac{T_a - T_e - T_f}{J} \right) dt \quad (16)$$

where  $J$  is the momentum of inertia of rotating parts,  $T_a$  is the aerodynamic torque by the wind rotor,  $T_e$  is the electromagnetic torque of generator and  $T_f$  is the torque due to friction losses

The real time rotational speed and shaft torque are used to evaluate the reference rotational speed(s) for a given time series wind speed data. The reference wind rotor model during dynamic state is shown in Figure 11.

The wind rotor size for the wind turbine emulator was selected based on the maximum wind speed and the rating of the “Feedback” d.c. shunt motor (200W). The d.c. shunt motor should be capable to imitate the wind rotor by delivering the relevant power for the associated dynamic state ( $d\omega/dt$ ) and given wind speed.

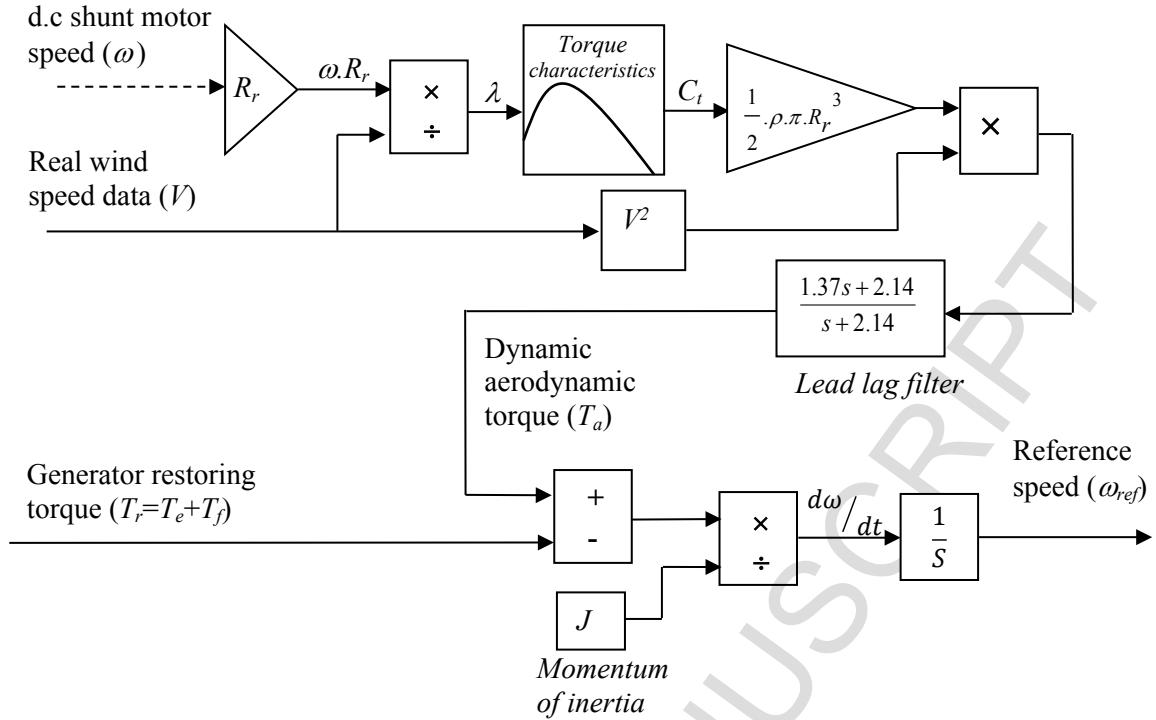


Figure 11: The wind rotor reference model

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The “Feedback” Torque & Speed Control module operates in speed control mode and this allows for demanding required rotational speeds by an external control input (0-10V). The real time rotational speed and torque of the d.c. shunt motor can be measured by two output signals (0-10V) from the “Feedback” Torque & Speed Control module. These output signals were calibrated with a standard tachometer and a torque meter. The measured rotational speed and torque value versus the output signal voltage are shown in Figure 12 and 13 respectively.

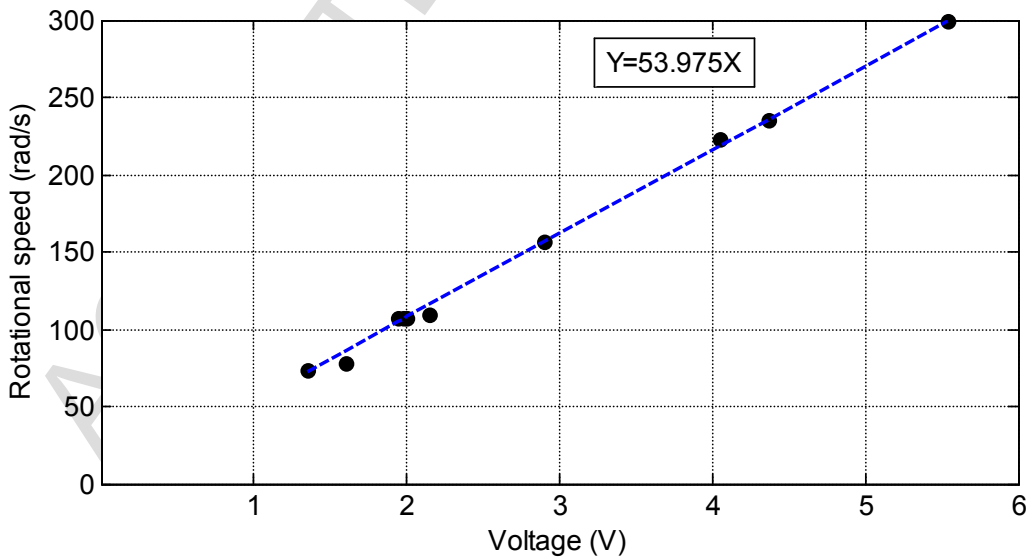


Figure 12: Rotational speed versus Voltage

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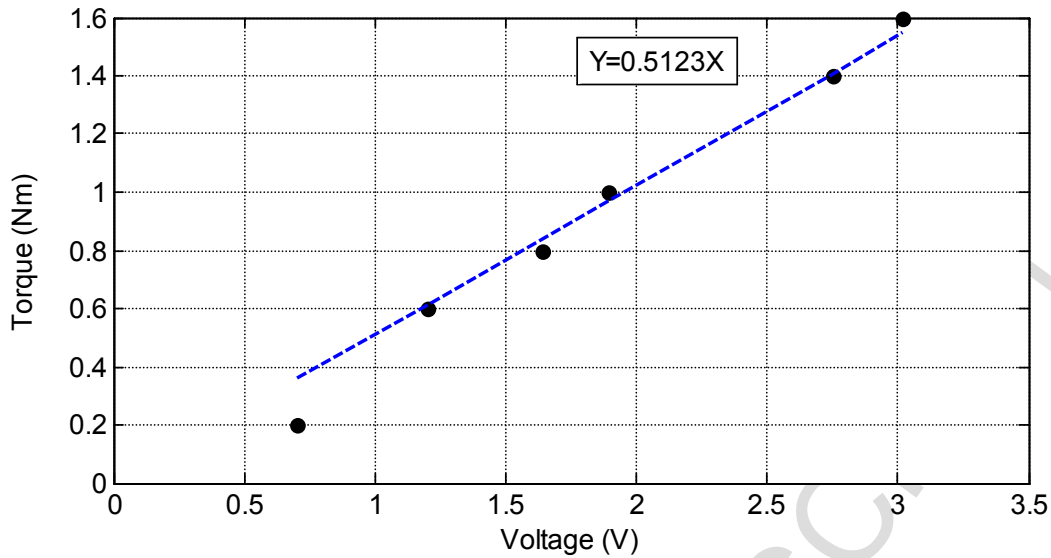


Figure 13: Torque versus Voltage

It can be noted that the measured values of speed and torque are fairly linear with the output voltage signals. The least square errors of measured data were calculated for curve fittings of each data set, which are represented by the linear relationships of rotational speeds and torques with measured voltage. These linear relationships were used to measure real time rotational speeds and torque values of the system by the output voltage signals of the “Feedback” Torque & Speed Control module.

The rotational speed is acquired by varying the external control voltage signal according to the performance of the wind turbine for different load conditions and for particular time series wind speed data. To evaluate the plant model performance, time series rotational speed and external control voltage data of the system were collected with 0.001s sampling time through the DSP board. The input/output (I/O) unit of the dSPACE DS1103 board is a set of on-board peripherals that includes digital to analogue (DAC) channels and analogue to digital (ADC) channels [24]. Subsequently, the DSP unit of the dSPACE DS1103 board can communicate with an external analogue system by using the DAC and ADC facilities of The I/O unit.

According to the collected data, external control input voltages ( $V_c$ ) are not linear with demanded rotational speeds at dynamic condition ( $d\omega/dt \neq 0$  &  $dT_e/dt \neq 0$ ) and hence this characteristic cannot be represented by a linear model. Therefore a nonlinear control technique is required to implement control of the d.c. shunt motor according to the reference model. The *Nonlinear Autoregressive Moving Average* (NARMA) model, which was introduced by Narendra and Mukhopadhyay, can be used to represent the input and output characteristics of a nonlinear system [31]. The classical PID controller cannot be used effectively since it is based on linear system theory. To overcome this problem, a NARMA-L2 Controller was designed and implemented in real time [32] for the research presented here. An approximate NARAM-L2 model was used to represent the operation of the integrated system of the “Feedback” Torque & Speed Control module and the d.c shunt motor. The NARMA-L2 controller transforms nonlinear system dynamics into linear dynamics by cancelling the nonlinearities and this can be simply accomplished by Neural Network model [33]. The neural network was trained



525 offline in batch form by back-propagation. The measured external control input voltage  
 526 ( $V_c$ ) values for each rotational speed ( $\omega$ ) value of the d.c shunt motor and for different  
 527 load and  $d\omega/dt$  conditions, which are consistent with real wind speed variations, were  
 528 used for training the neural network. The Levenberg-Marquardt algorithm was used to  
 529 train this network by using 40000 data sets, which were collected by using the dSPACE  
 530 data acquisition system [34]. The NARMA-L2 model is represented as follows;

531

$$532 \quad \omega_{ref}(k+d) = f[\omega(k), \dots, \omega(k-n+1), V_c(k), \dots, V_c(k-m+1)] \\ + g[\omega(k), \dots, \omega(k-n+1), V_c(k), \dots, V_c(k-m+1)]V_c(k+1)$$

533 (17)

534

535 Functions “ $f$ ” and “ $g$ ” are estimated by using the neural network.

536 Using the NARMA-L2 model, the control voltage signal can be obtained as;

537

$$538 \quad V_c(k+1) = \frac{\omega_{ref}(k+d) - f[\omega(k), \dots, \omega(k-n+1), V_c(k), \dots, V_c(k-n+1)]}{g[\omega(k), \dots, \omega(k-n+1), V_c(k), \dots, V_c(k-n+1)]} \quad (18)$$

539

540 where  $\omega_{ref}(k+d)$  is the reference signal to be tracked.  $V_c(k+1)$  is the plant  
 541 (“Feedback” system) input,  $\omega(k)$  is the plant output.

542

543 The NARMA-L2 neural network controller shown in Figure 14, provides the control  
 544 input signal  $V_c$  to the “Feedback” Torque & Speed Control module. In this control  
 545 system, the control reference rotational speed ( $\omega_{ref}$ ) is estimated by the reference model.  
 546 The NARMA-L2 controller determines the control input ( $V_c$ ) by considering *Tapped*  
 547 *Delayed Values* (TDV) of real time rotational speed ( $\omega$ ) and control input ( $V_c$ ). In this  
 548 process, the output of the system ( $\omega$ ) follows the control reference ( $\omega_{ref}$ ) [35].

549

550 The parameters for system identification are shown in Table 1.

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**Table 1: NARMA-L2 neural network controller system identification parameters**

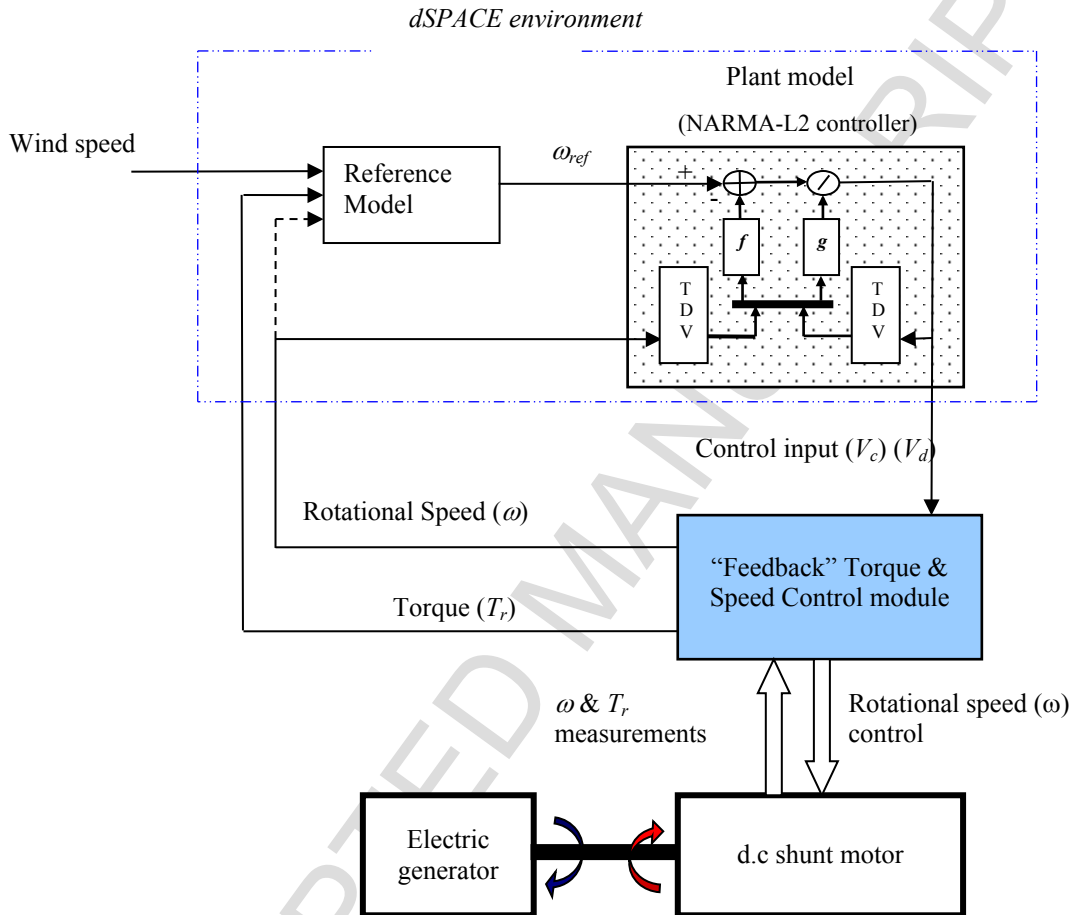
Parameters	values
Input range ( $V_c$ )	[0, 6] V
Sample time	0.001s
Delayed input ( $m$ )	25
Delayed output ( $n$ )	25
Hidden layer size	15

555

### 556 5.3 Digital Signal Processing (DSP) Control Techniques

557 The wind turbine emulator was implemented with a DSP control & data acquisition  
 558 board. The reference model and the plant model incorporated with the NARMA-L2  
 559 model reference controller were performed in the dSPACE environment (which is  
 560 linked to a computer). All control models were developed in SIMULINK and then  
 561 embedded system models were rebuilt in the dSPACE environment (by using the real  
 562 time workshop option in SIMULINK) for real time operation. Real time rotational

563 speed and shaft torques time series data of the system should be acquired in order to  
 564 estimate the wind turbine emulator control signal  $V_c$ . Therefore, “Speed-out” and  
 565 “Torque-out” facilities of the “Feedback” Torque & Speed Control module were used  
 566 to get real time rotational speed and shaft torque values and these time series data were  
 567 processed by the DSP board. The output signals of the “Feedback” Torque & Speed  
 568 Control module are ‘noisy’ and they could not be directly used to control the system.  
 569 Therefore, the high frequency noise components of the signals were removed by using  
 570 a low-pass digital filter, developed for this purpose by using MATLAB Digital Signal  
 571 Processing tool box.

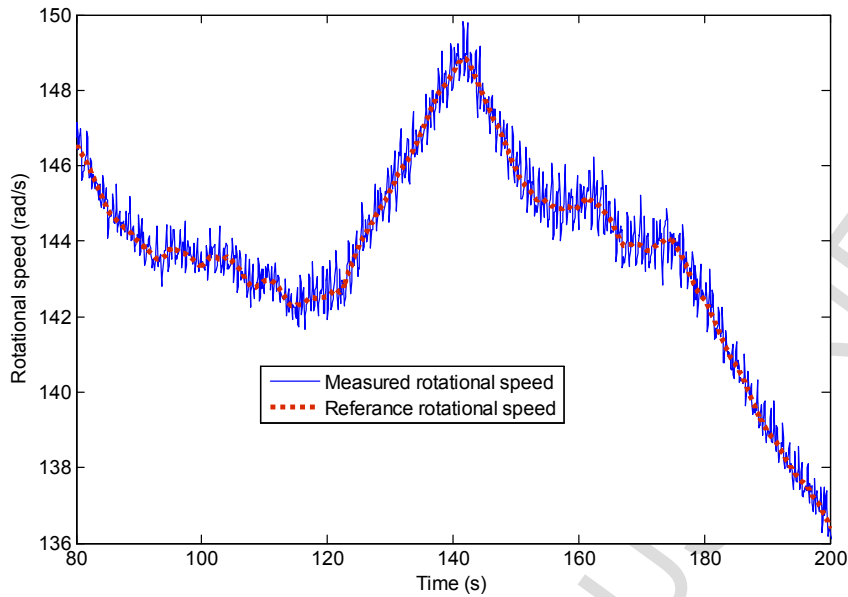


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 575 **Figure 14: NARMA-L2 neural network d.c. shunt motor controller**  
 576

#### 577 **5.4 Validation of the Model Reference Controller**

578 For proper operation of the wind turbine emulator, the NARMA-L2 controller should  
 579 estimate the control signal ( $V_c$ ) for controlling the system output to follow the control  
 580 reference. In this control strategy, the reference model estimates the control reference  
 581 signal ( $V_c$ ) according to the mathematical model of the wind rotor. To evaluate the  
 582 performance of the model reference NARMA-L2 controller, the system output  
 583 parameters are compared with the control reference values, which are calculated for the  
 584 wind rotor mathematical model. In the proposed wind turbine emulator, the rotational  
 585 speed is the control parameter, which is evaluated in accordance with the given wind  
 586 speed variations and system torque values. Therefore the control error can be evaluated  
 587 by the difference of the calculated control reference and the measured real value ( $\omega_{ref}$ -

588 *o*). The validation results are presented in Figure 15 and the validation results show  
 589 that the maximum rotational speed control error is  $\pm 1.2$  rad/s.



590  
 591 **Figure 15: Validation results of the wind turbine emulator**  
 592

### 593 **5.5 Test rig**

594 The experimental setup was based on a wind turbine emulator coupled to a three-phase  
 595 permanent magnet generator. The wind turbine emulator is a prime mover (d.c. motor),  
 596 which follows output torque-speed characteristics of a real wind rotor for given time  
 597 series wind speed data. A load controller of the generator is implemented for maximum  
 598 power point tracking of the WECS. In this experimental setup, the d.c. motor and power  
 599 output of the generator were separately controlled by two independent digital control  
 600 modules. The d.c. motor was controlled as a wind turbine emulator according to given  
 601 time series real wind data. Measured wind speed values with 1 second logging time data  
 602 were used for the test rig. Power output of the generator was controlled by considering  
 603 each optimum control strategy. In this test rig, a single dSPACE control board  
 604 (DS1103), which is a single-board system with real-time processor and comprehensive  
 605 I/O (dSPACE Inc) [29], was utilised to simultaneously perform both d.c. motor and  
 606 generator control systems. The dSPACE control board can be used with the Real-Time  
 607 Interface (RTI) of the MATLAB/Simulink® block diagram environment. The wind  
 608 turbine emulator/generator test rig is shown in Figure 16 The Torque & Speed Control  
 609 module was directly connected to the dSPACE I/O control board as voltage limits of  
 610 the input and output signals are compatible.  
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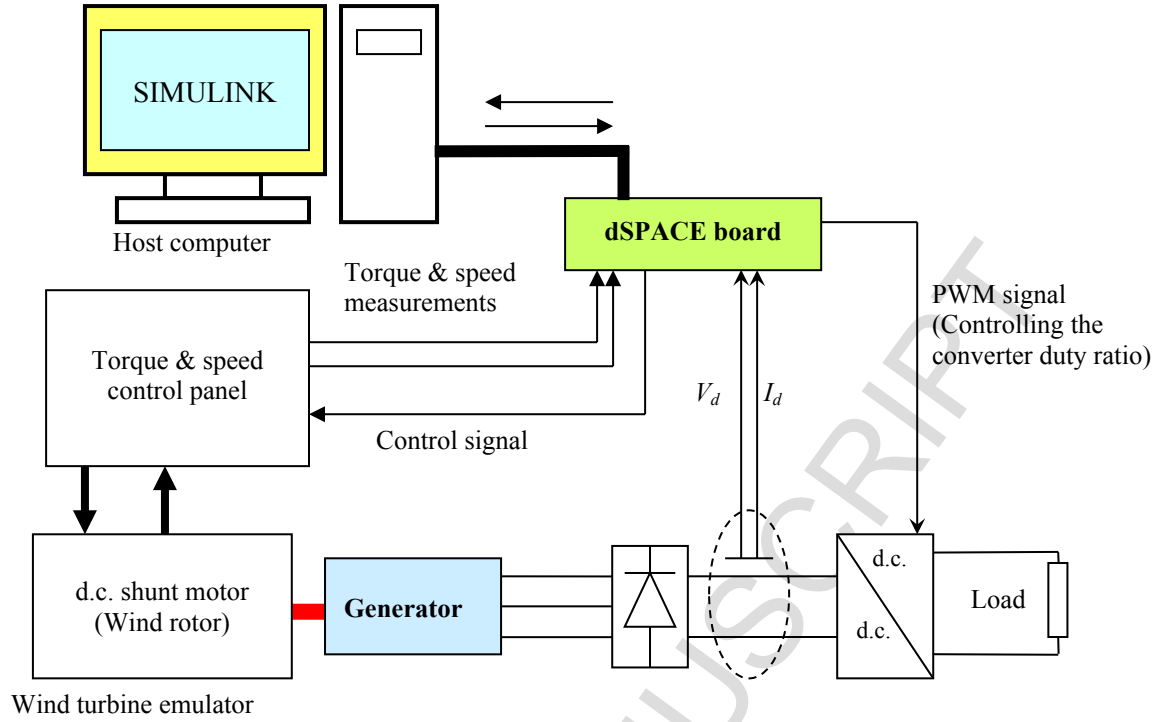


Figure 16: Test rig configuration

The three phase a.c. output of the generator was converted to d.c. by using a full wave bridge rectifier. The d.c. voltage and current values were measured by using a voltage sensor (N2772A 20 MHz Differential probe) and a current transducer (HY5-P). These two signals were fed to the dSPACE control board by considering calibrated gain parameters of the sensors. The electrical load on the generator was controlled by varying the duty cycle ratio (PWM) of the d.c.-d.c. converter (see Figures 5 and 11) [36]. The PWM signal was generated through the dSPACE control board. The host computer was used to build the Simulink control models and linked for Real-Time Interface (RTI) with the dSPACE control board.

The wind turbine emulator specifications are provided in Table 2;

Table 2: Wind turbine emulator specifications as utilised in the test-rig

Wind Rotor		Generator	
Parameters	values	Parameters	values
Radius of the wind rotor ( $R$ )	0.7 m	Phase resistance ( $R_{ph}$ )	35.5 $\Omega$
Number of blades	2	Phase inductance ( $L_{ph}$ )	0.080987 H
Moment of inertia of rotating parts ( $J$ )	2 kg.m <sup>2</sup>	$k'$ (as described in equations (12) and (13))	2.1

## 6. Experimental Results

The wind turbine emulator was used to evaluate the effectiveness of the time series linear prediction for optimal control of wind turbines. This is necessary since it is more difficult (if not impossible) to carry out an appropriate comparative study of the performance of a real wind turbine subjected to variable wind conditions. The wind

636 turbine emulator was operated with a 1 second logging time measured wind speed data  
 637 to imitate real conditions with effects of momentum of inertial of the rotating part of  
 638 the WECS. The effects of coning and flapping of the rotor blades are assumed to be  
 639 negligible and hence were not considered in this study. Also for small scale wind  
 640 turbines, the tower shadow effect is negligible [25][26] and the wind rotor is directly  
 641 coupled to the generator by a short shaft. Thus the power transmission drive train  
 642 configuration of WECSs is similar to the drive train of the motor-generator set used in  
 643 the proposed emulator.  
 644

645 For comparison, the performance of each control system was evaluated for the same  
 646 time series wind speed data set (same wind condition), which can be employed to the  
 647 wind turbine emulator.  
 648

649 The DSP board-1103 was used to control the duty cycle of the d.c.- d.c. converter and  
 650 therefore the loading on the generator. The loading is controlled by considering the  
 651 wind speed sensor method and the power mapping control method with and without  
 652 linear predictions of wind speed. Each system was emulated for measured (real) wind  
 653 data. The energy extraction for each method - over 2500 seconds - are presented in  
 654 Table 3. It is evident that the energy yield from a wind energy conversion system is  
 655 increased by almost 5% with the use of linear prediction techniques.

656 **Table 3: Energy extraction**  
 657

	wind speed sensor control method (J)	Power mapping control method (J)
Extracted energy without prediction	121478.7	117371.3
Extracted energy with prediction	127333.9	121771.8
Increase in energy yield %	4.82%	3.75%

658

## 659 7. Conclusions

660 As a consequence of inertia, the rotational speed of a wind rotor cannot be changed  
 661 instantaneously. By predicting wind speeds at time-ahead time intervals, the prediction  
 662 of a wind rotor rotational speed control reference facilitates system control to acquire  
 663 optimal maximum power operating point. Wind speed can be predicted with reasonable  
 664 accuracy based on historical time series data. Experimental tests conducted using a  
 665 WECS emulator showed that energy capture of WECSs can be improved by predicting  
 666 the control reference and this can increase the energy yield by almost 5%.  
 667

668 The rotational speed of a wind rotor is controlled by varying the restoring torque of the  
 669 generator ( $T_e$ ), which is proportional to the generator current ( $I_d$ ). Some physical  
 670 limitations are identified to control the system in acquiring the optimal rotational speed  
 671 for particular wind speed value. Even though the control reference is accurately  
 672 predicted, the variation of rotational speed ( $d\omega/dt$ ) is limited by rating capacity of the  
 673 generator current ( $I_d$ ) and due to limitations of the structural strength of the wind  
 674 turbine.  
 675

676 The experimental results obtained in this study show that the performance of the wind  
 677 sensor method is better than the power mapping wind sensorless method. This is  
 678 because in the power mapping technique, it is difficult to estimate the relevant control  
 679 reference at dynamic state ( $d\omega/dt \neq 0$ ) by the predetermined system characteristics.

680 However, in practice it is difficult to accurately measure the wind speed by an  
 681 anemometer installed close to the wind turbine, as the wind turbine experience different  
 682 forces due to wake rotation.

683

## 684 **8. Acknowledgment**

685 The authors would like to thank Northumbria University, UK, University of Moratuwa,  
 686 Sri Lanka, Dublin Institute of Technology, Ireland and National Renewable Energy  
 687 Centre (currently Offshore Renewable Energy Catapult), UK for their support to this  
 688 project.

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*Adaptive Linear Prediction for Optimal Control of Wind Turbines***Highlights** - Article ref.: RENE-D-16-03594

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A representation of the performance of a real wind turbine subjected to variable wind  
Adaptive Linear Prediction to improve performance and conversion efficiencies of WECS  
Wind turbine emulator as a means to evaluate time series linear prediction  
Wind sensor method offers an optimal power mapping technique