# **SUSTAINABLE COOLING APPROACHES FOR A WARMING WORLD: A LITERATURE REVIEW**

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**Abstract:** As the urgency to combat climate change intensifies, sustainable cooling strategies are essential to manage high temperatures. This paper presents a literature review of sustainable cooling approaches that reduce temperatures in extreme conditions. It analyses 64 peer-reviewed publications using a four-tiered cooling pyramid framework proposed by the authors. The framework categorises cooling approaches into urban cooling, passive buildings, appliances without refrigeration, and air-conditioning with refrigeration. The findings show that "urban cooling" strategies as the most promising approach, with temperature reduction over 10°C, while passive buildings and non-refrigerant appliances achieve reductions of 5ºC and 7ºC respectively. When combined, these strategies offer a potential reduction of  $20^{\circ}$ C before deploying refrigerant based cooling. By synthesising these cooling potential of each strategy and highlighting the gaps, the review provides an overview of sustainable cooling in urban environments makes the case for a layered and integrated approach. This work serves as a vital resource for funders, policymakers, and researchers seeking to develop and implement climate-resilient cooling solutions in the built environment.

**Keywords:** *Sustainable Cooling, Urban cooling, Literature review, Extreme climate, Passive Buildings, Air conditioning* 

# **1. Introduction**

The world is facing an unprecedented challenge of global warming, leading to more frequent and intense extreme climate conditions. Among the consequences, heat waves pose a severe threat to human health and mortality (Hughes et al., 2016). As climate change progresses, heat waves are projected to grow in frequency and intensity, especially in urban areas where the Urban Heat Island effect exacerbates the problem (Stanganelli & Gerundo, 2017) (Li et al., 2023). With higher population, urban areas are sites for increased vulnerability to heat waves, which can lead to increased energy consumption for cooling, peak electricity demand, and heat-related morbidity and mortality (Santamouris, 2020) (Shandas et al., 2019). Addressing this challenge requires a multi-pronged approach of sustainable cooling solutions that can effectively mitigate the effects of extreme heat while minimizing the broader environmental and social impacts. One promising approach is the integration of urban green infrastructure, such as parks, gardens, and green roofs (Stanganelli & Gerundo, 2017) (Li et al., 2023). These natural cooling solutions can provide significant reductions in local temperatures through evapotranspiration and shading, while also offering additional benefits like improved air quality, biodiversity, and recreational opportunities (Li et al., 2023). However, the distribution and configuration of green spaces within urban areas play a crucial role in their effectiveness, and careful planning is required to optimise their cooling potential (Stanganelli & Gerundo, 2017). Beyond green infrastructure, other sustainable cooling strategies, such as the use of reflective surfaces, shading, and passive building designs, have also been explored (Hagishima, 2018). These approaches aim to reduce heat absorption and increase heat dissipation, thereby lowering the demand for energy-intensive air conditioning. These approaches can be categorised and broadly defined to help understand their significance and potential in mitigating extreme heat by reducing the temperatures experienced by people.

As climate change adaptation becomes increasingly crucial, the integration of these sustainable cooling solutions into urban planning and design is paramount. The co-benefits of these strategies, which include reduced energy consumption, improved thermal comfort, and enhanced resilience, make them a promising way forward in addressing the challenges posed by extreme heat waves (He et al., 2019) (Battisti et al., 2018). In this paper, we present a comprehensive review of sustainable cooling approaches. We propose a four-tiered cooling pyramid that puts sustainable cooling in a comprehensive framework, and we categorise cooling approaches and analyse them. We evaluate the location, climate zone, method, and the temperature reduction each strategy is reported to achieve. This provides a documentation of the cooling potential of other approaches before refrigerant-based cooling needs to be deployed.

By reviewing 64 peer-reviewed studies, this paper identifies and evaluates the cooling potential of various sustainable strategies across different climate zones. The analysis highlights that urban cooling approaches, such as green infrastructure

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and reflective surfaces, can reduce local temperatures by over  $10^{\circ}$ C. Passive building strategies, including shading and thermal mass, offer reductions exceeding 5°C, while non-refrigerant appliances achieve cooling of more than 7°C. When layered effectively, these strategies provide a cumulative cooling potential of up to 20°C, delaying or even eliminating the need for refrigerant-based air conditioning in many scenarios.

These findings demonstrate that sustainable cooling solutions can offer substantial temperature reductions, making them vital tools for mitigating extreme heat, particularly in urban environments. However, the effectiveness of these strategies varies by region and climate, emphasizing the need for further research in multiple climate zones. This review is significant because it provides a comparative overview and identifies gaps in a comprehensive sustainable cooling approach. It is expected to be an important resource for funders, policymakers, researchers seeking to develop and implement climateresilient cooling solutions in the built environment.

# **2. Methodology**

This study is conducted in two stages (see Figure 1). The first stage involves gathering relevant literature through research and identifying trends, while the second stage involves categorising the literature and analysing it.



Figure 1, Basic framework of the workflow for the methodology

## 2.1 SUSTAINABLE COOLING PYRAMID

Before starting the literature review, the authors have developed a cooling pyramid (Figure 2), to categorise and analyse various cooling approaches. The pyramid framework organizes cooling strategies into tiers based on their accessibility and scope of impact. The larger size of a tier indicates larger accessibility of that cooling approach. Thus, urban cooling is at the base of the pyramid as the largest tier, denoting that almost everyone, including outdoor workers, are likely to have access to the cooling provided by this approach. Passive buildings in the second tier provide access to its cooling effect to those who inhabit buildings. The third tier of appliances without refrigerants such as ceiling fans is affordable and can provide cooling to large number of people. The top-most tier of refrigerant-based air-conditioning (AC) is expensive and while providing cooling to an affluent few, this approach in effect pumps the indoor heat to the outdoors, worsening conditions for everyone else. It is noteworthy that while access to cooling increases as we move down from the top of the pyramid, typically access to investments and funding increases in the opposite direction.



Figure 2, Sustainable Cooling Pyramid

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The authors used this pyramid as a framework to assess the impact of cooling strategies and identify gaps across various scales of the built environment.

## *2.1.1 Urban Cooling*

In this tier, we will cover the definition and intent of urban cooling, exploring its various techniques and their benefits. Urban cooling, or urban heat mitigation, involves strategies to reduce temperatures in urban environments addressing the Urban Heat Island effect and heat waves. This temperature difference between urban areas and rural areas results from human activity and the built environment (Oke, 1982). Techniques like increasing vegetation cover, such as parks and green roofs, and enhancing surface reflectivity help mitigate the impact of extreme heat, improve comfort, and reduce reliance on AC, thereby lowering electricity consumption and carbon emissions (International Energy Agency et al., 2018).

## *2.1.2. Passive Buildings*

Passive design strategies in buildings use strategies like shading, insulation, or thermal mass, to reduce heat ingress, while using natural heat sinks such as air, water, ground, and sky to dissipate absorbed heat through natural ventilation, thermosyphons, or radiative cooling. They regulate indoor temperatures and reduce the need for mechanical and electrical energy-based systems (Abady, 2023). Together, they improve energy efficiency and occupant comfort while minimising environmental impacts (Kwok & Grondzik, 2007; Givoni, 1995). The effectiveness of these strategies is dependent on the local climate and the building typology.

## *2.1.3. Appliances without refrigerants*

'Appliances without refrigerant' refers to cooling technologies and appliances that do not rely on refrigerants, which are known contributors to high energy use, ozone depletion and global warming. These systems typically use low-energy methods such as forced ventilation, evaporative cooling or advanced materials like phase-change materials (PCMs) and thermoelectric cooling, to achieve temperature control. They are also referred to as active cooling approaches. They reduce or eliminate the use of conventional refrigerant based cooling, thereby lowering greenhouse gas emission and promoting sustainability in building cooling solutions. (Airplusrefrigeration, 2023) (Xue et al., 2023)

## *2.1.4. Air conditioning, with refrigerants*

Air conditioning (AC) is preferred as a cooling system because it provides the necessary cooling in almost any situation as long as there is an abundance of electricity, and unlike many passive techniques, can be deployed as a retrofit. It results in environmental degradation due to its refrigerants and electricity use. Since the refrigerant cycle moves heat to the outdoors, an indiscriminate use of AC results in higher outdoor temperature in the microclimate.

In a comprehensive and integrated view of Sustainable Cooling it is important consider AC as the last resort, so that other approaches that have lower environmental impacts are deployed with higher priority (Asim et al., 2022). This Sustainable Cooling approach also ensures that more accessible methods of cooling are deployed first, and by reducing the hours and places where AC moves indoor heat to the outdoor urban environment, we protect vulnerable populations that cannot afford  $AC<sub>1</sub>$ 

# 2.2 LITERATURE REVIEW/STUDY SELECTION PROCESS

A comprehensive literature review was conducted to identify and synthesize the most relevant research on sustainable cooling approaches for temperature reduction during extreme climate events. The search strategy involved querying multiple academic databases (e.g., Google Scholar, Scopus, MDPI) using a well-defined set of keywords, including "sustainable cooling," "urban heat mitigation," "extreme heat adaptation," "ceiling fan cooling," "evaporative cooler," "passive design," and "green infrastructure." This strategy was designed to capture a broad spectrum of studies on sustainable cooling solutions and urban heat adaptation strategies. In addition, the authors referenced proceedings from peer-reviewed publications and followed a thematic approach presented at the Comfort at the Extremes (CATE) Conference 2023, held at CEPT University in Ahmedabad, India.

The selection of sources followed a systematic approach, with articles evaluated based on their relevance to the research question, scientific rigor, and contribution to understanding sustainable cooling technologies. While the search was primarily limited to recent journals, references from older but relevant studies (up to 16 years ago) were also included if they provided critical insights. The selected publications adhered to the following criteria:

- 1. The papers were peer-reviewed and published in English, with non-English language papers being translated for analysis.
- 2. Studies were assessed based on the "sustainable cooling pyramid," categorizing them into one of four tiers: (a) urban cooling, (b) passive building design, (c) appliances without refrigerants, and (d) refrigerant-based cooling (e.g., air conditioning).
- 3. Only studies that presented measured or simulated results of cooling strategies in predominantly hot-dry and warm-humid climates were included, as these regions typically experience more extreme heat events. However, the study was not limited exclusively to these climate zones.

4. Preference was given to studies providing empirical data for meta-analysis, such as temperature reduction and energy savings metrics, as well as thermal comfort evaluations. Studies that focused solely on theoretical strategies without empirical evidence were excluded.

#### 2.3 DATA EXTRACTION

We classified the cooling approaches in these publications into the four tiers of the pyramid. A tabular arrangement included the title, year, author(s), study focus, strategies used, findings, study location, study method, climate type/zone, temperature reduction achieved, and additional remarks. Under study method, we noted if publication reported on field measurements or computer simulations, or a combination of both.

#### 2.4 DATA ANALYSIS

The selected literature was analysed based on the study's purpose, and the findings. The locations were extracted and mapped according to the Köppen-Geiger climate classification system. Visual representations illustrated the effectiveness of the different cooling strategies and provided a comprehensive understanding of the geographic scope and climate contexts. Through the reported findings, we analysed the temperature reductions, the scope of the study, and the overall impact.

### **3. Results**

This review reports on 64 peer reviewed publications largely from India, categorised into the four tiers: 'Urban Cooling', 'Passive Design', 'Appliances (without refrigerant)', and 'AC'. Each tier contains 15, 22, 18, and 9 publications on the subject matter, respectively.

#### 3.1. GEOGRAPHICAL AND CLIMATE ZONE/TYPE DISTRIBUTION

The geographic distribution of study shows 15 publications (24%) from India, followed by 7 (11%) from the USA, 4 (6%) from China, 3 each from Australia and Republic of Korea, and 2 each from UAE, Saudi Arabia, Canada, Italy, France, Turkey, Germany, and Brazil. The other 16 publications are 1 each from various other countries.



Figure 3, Climate Zone distribution of study sites.

The Köppen-Geiger climate classification (Kottek et al., 2006) show that a large number these 64 publications have studies from climate zones with hot summers (see Figure 3), (19, 30%) studies conducted in Hot-Dry (BWh) climate, closely followed by (16, 25%) in Humid subtropical (Cfa) climate, (7, 11%) in Temperate climate (Cwb). Studies also focused on the Hot-Mediterranean (Csa) (3, 5%) (Cwa) (3, 5%), the Humid Continental (Dfa) (4, 6%).

#### 3.2. SUSTAINABLE COOLING AND TEMPERATURE REDUCTION

In this section, we delve into the various sustainable cooling strategies and technologies identified in the reviewed literature, focusing on their temperature reduction and outcome across different tiers and climate zones. Figure 4 shows temperature reductions in Tier 1 urban cooling strategies range from 1.26°C to 15°C. The most substantial temperature reduction of 15°C was observed in the study by Sunmin et al. (2023), with 10°C observed by D. Alessandro et al. (2024). For Tier 2 passive building strategies, the temperature reductions range from 0.7°C to 7.5°C, with a broad spectrum of effectiveness. The most significant reduction was observed in the study by Roberts et al. (2024), which reported a temperature decrease of 7.5°C. For Tier 3 appliances without refrigerants, the temperature reduction ranged from  $0.17^{\circ}$ C to  $11^{\circ}$ C. A particularly notable reduction in temperature was observed with a thermally insulated radiative cooler using pre-cooling scheduling, as reported by Jeong et al. (2018), a temperature reduction of 11°C. For Tier 4 AC, most studies reported reduced energy consumption instead of the temperature reduction achieved. One integrated system was able to reduce energy consumption by 76.7% according to Illie et al. (2017), while Ketwong et al. (2021) reported a temperature reduction of 13°C through a direct evaporative cooling system integrated with an air conditioner thereby reducing electricity consumption and increasing the efficiency of the condenser.



Figure 4, Tier wise chart with temperature reduction in °C, and Authors' name and year

## *3.2.1 Urban cooling*

In this tier, the strategies reviewed include increasing building heights to reduce surface temperatures by optimizing urban morphology, enhancing shading, and improving outdoor thermal comfort. These methods help mitigate the Urban Heat Island (UHI) effect and can even create Urban Cool Island (UCI) conditions (Mehta et al., 2024; Yang et al., 2016). Additionally, using reflective materials like cool roofs and pavements lowers surface temperatures and improves microclimates. For example, in Rome, integrating green infrastructure with 15-meter-high trees significantly enhanced thermal comfort (Del Serrone et al., 2022).

Other strategies, as shown in Table 1a, focus on similar approaches, though the methods vary with different materials and tools used to process and evaluate the results. These variations highlight the adaptability of sustainable cooling techniques to different environmental and technological contexts.



#### Table 1 a) Summary of Tier 1 Urban Cooling.

## *3.2.2 Passive Buildings*

The implementation of passive design strategies yielded significant reduction in temperature, improvements in energy efficiency and thermal comfort within the studied buildings in various study locations. Techniques like natural ventilation, solar control, (Al-Shamkhee et al., 2022) architectural layout design (Lapisa et al., 2018), and use of materials with high thermal mass were found to be effective in moderate to hot and dry climates. The strategies listed below in (Table 1b) provide temperature reduction achieved for various building types and climates: In hot and humid climates, evaporative & evapotranspiration cooling technique, (Siripurapu & Maheshwari, 2024) shading, and high-performance glazing (Wu et al.,

2023) (Soi & Goswami, 2024) were found to be effective passive cooling strategies. In continental humid climates, strategies like enhanced insulation, thermal mass, and natural ventilation were found to be effective (Kader, 2024; Sharmin & Rahman, 2024).



#### Table 1 b) Summary of Tier 2 Passive Buildings

## *3.2.3 Appliances (without refrigerants)*

In addition to passive design strategies, high-efficiency cooling appliances such as ceiling fans, electric fans, exhaust fans, and thermoelectric coolers can further enhance the temperature reduction potential. For instance, the use of ceiling fans in hot and humid conditions was found to provide a 2-7 °C reduction in indoor temperatures (Lin, 2019), and strategically placed exhaust fans (Kamar et al., 2023). While thermoelectric coolers were found to be much more environmentally friendly and compact, currently have lower performance compared to traditional HVAC systems (Güçlü et al.,2017). However, with advancements, the technology can improve its performance. There have also been efforts of integrating systems, such as incorporating photovoltaic (PV), proton exchange membrane fuel cell and thermoelectric systems, that gives us insight into its potential in temperature reduction whilst improving performance and efficiency (Marefati et al., 2019). Integrated thermoelectric cooler with phase change materials (PCMs) and liquid-cooled system can boost the cooling performance. With liquid-cooled system, coefficient of power (COP) was 40% higher than conventional thermoelectric cooler (Güçlü et al.,2017). Refer to (Table 1 c) for strategies across various climates.





## *3.2.4 Air Conditioning with refrigerants*

As we approach the final tier, innovative integrated HVAC systems such as pre-cooling using semi-indirect evaporative cooling and control scheduling reduces the cooling load of Air conditioning unit (Socci et al., 2024).

Integrating direct evaporative cooling with air conditioner can reduce the cooling load of the system alone by 41% thereby increasing its cooling capacity as the direct evaporative cooler reduces 13 °C temperature from the outdoor temperature of 40 °C in hot and dry climate. (Ketwong et al., 2021). When coupled with Air conditioner, ceiling fans significantly improve air circulation, reduce thermal stratification, and enhance overall comfort (Ho et al., 2008). (Table 1d) shows such integrative systems and their temperature reduction potential and savings.

<b>Author (Year)</b>	<b>Location &amp; Climate</b>	<b>Strategy</b>	<b>Temp. Reduction/Remarks</b>
B. Soumyadip et al. (2024)	Ahmedabad, India (Hot & Dry)	Thermal storage + HVAC	for office Load-shaving up to 38% buildings
Miller et al. (2021)	Stockton, Fresno, CA, USA (Hot & Dry)	Automated ceiling fans + AC	36% compressor energy savings, increased indoor temperature by 1.9 $\degree$ C but maintained thermal comfort
Miguel Chen Austin et al. (2023)	Panama City (Hot-Humid)	VGHE + heat pump $(COP 4.1)$	Reduced electricity consumption by 33.5%
Ketwong et al. (2021)	Chiang Ma, Thailand (Hot-Dry)	Direct evaporative cooling + AC	13°C reduction
Lim et al. (2019)	Riyadh, Saudi Arabia & Seoul, South Korea (Semi-arid & Temperate)	Inverter AC	Energy savings: Riyadh 18.3-47.1%, Seoul 36.3-51.7%

Table 1 d) Summary of Tier 4 Air Conditioning with refrigerants.



# **4. Conclusion**

This paper underscores the importance of a comprehensive approach to sustainable cooling to provide affordable, accessible, and environmentally friendly cooling. The cooling pyramid offers a framework for layering different approaches and recognizing air-conditioning with refrigerants as the last resort.

The literature review shows that urban cooling strategies can provide temperature reduction of 10ºC and above, passive buildings provide 5ºC and above, and appliances without refrigerants provide 7ºC and above. Layering these strategies in urban environments may provide 20ºC of cooling before resorting to any refrigerant based air-conditioning. This implies that for extreme heat conditions of  $50^{\circ}$ C, most people may have access to cooling that gives them  $30^{\circ}$ C environments.

# **5. Limitations**

Currently, the analysis is limited to 64 peer-reviewed papers, with a predominant focus on India with 15 publications (24%), followed by 7 (11%) from the USA, 4 (6%) from China. Some of the methods carried out in the strategies of each tiers require extensive technical as well as manual skills to implement, while the paper provides an overview of the impact, the feasibility context needs to be studied rigorously to be able to integrate and use the strategies effectively.

In the light of this, the authors recommend systematic research to build evidence of that this potential can be realised in climate zones with hot summers such as Hot-Dry (BWh) Humid subtropical (Cfa) Temperate climate, Hot-Mediterranean (Csa) (Cwa), and Humid Continental (Dfa).

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